

# Practical Forward Secure Signatures using Minimal Security Assumptions

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# Digital Signatures are Important!



Software updates



amazon

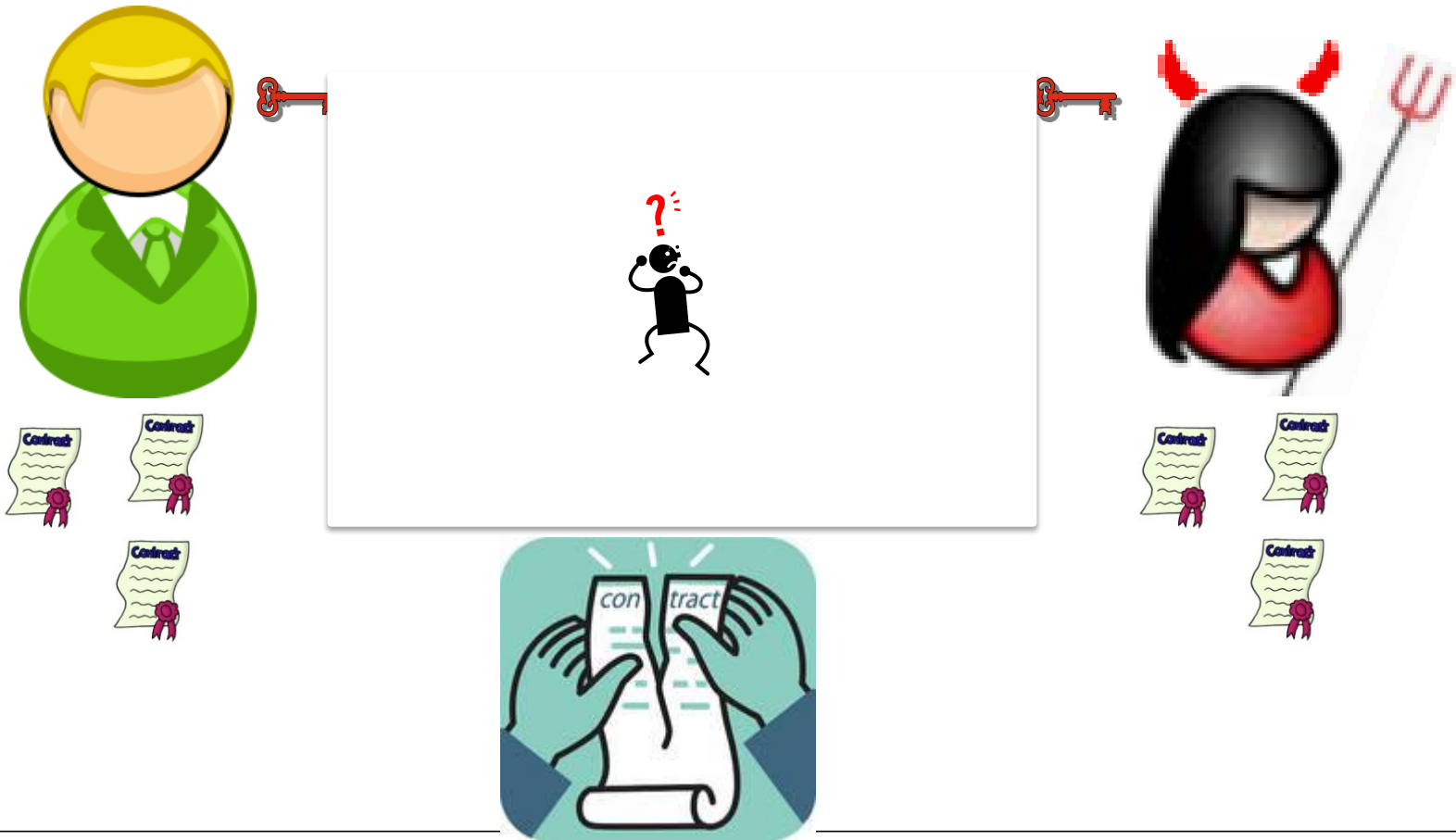
E-Commerce

ebay<sup>tm</sup>

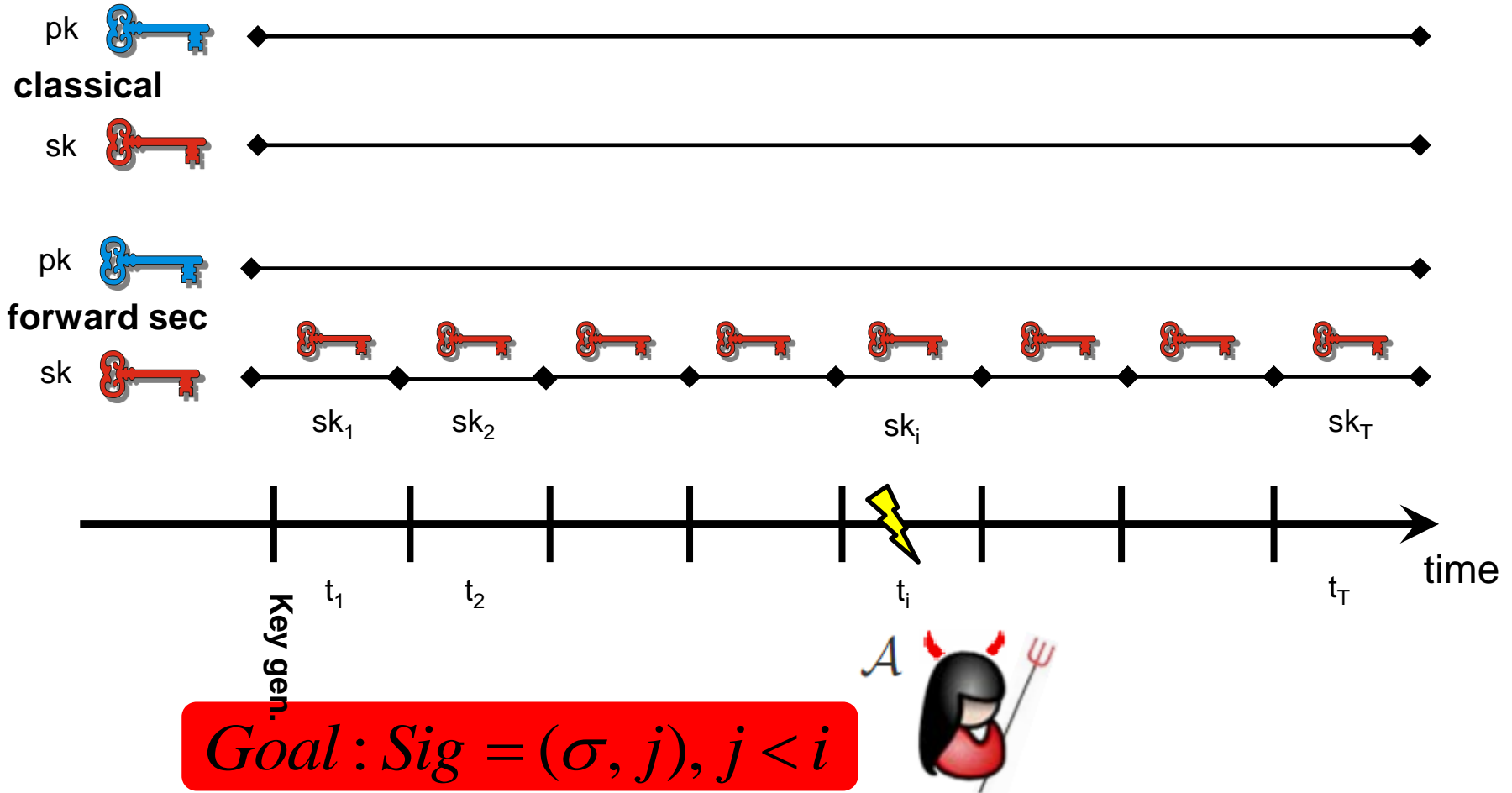
... and many others

# Forward Secure Signatures

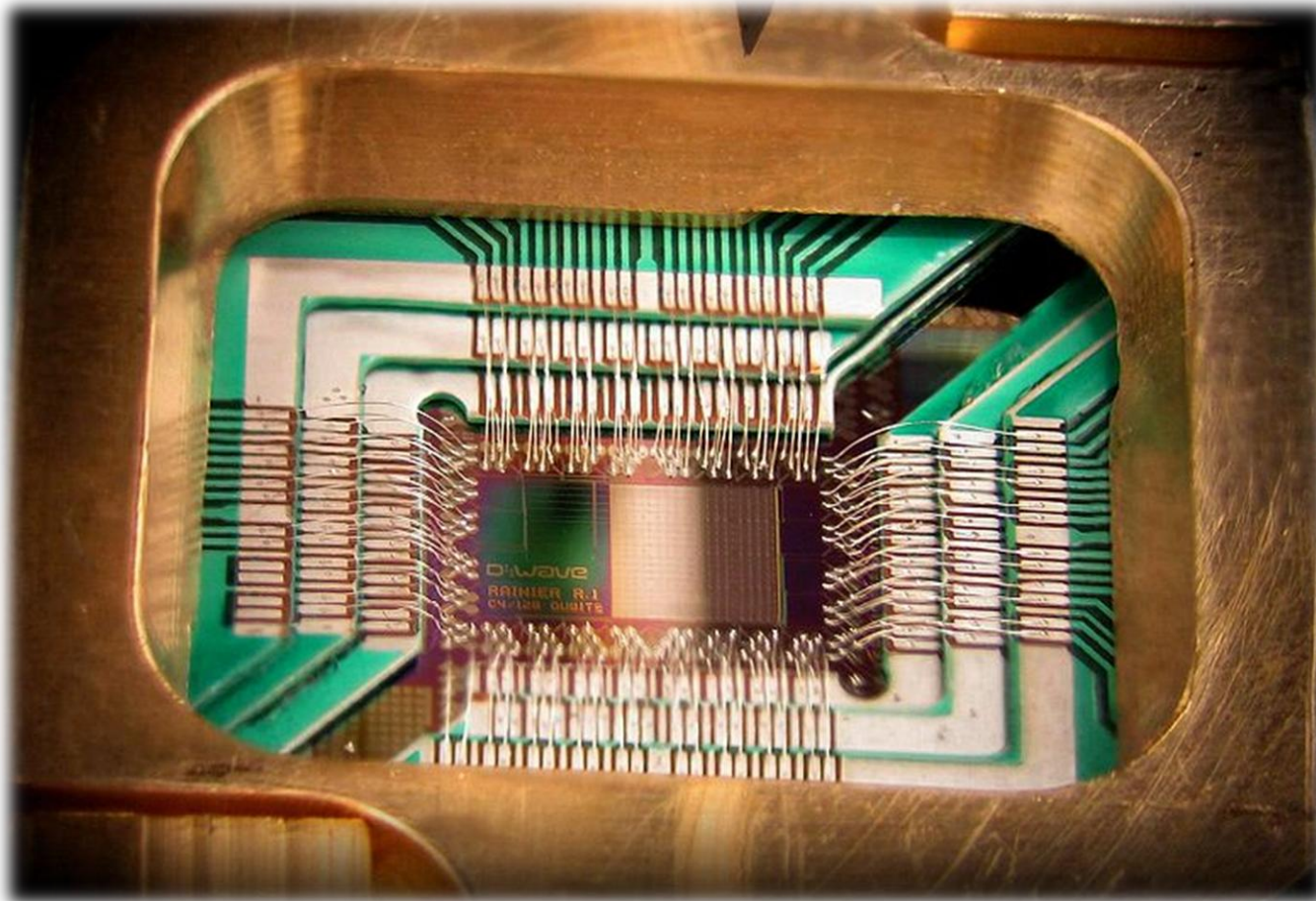
[And97]



# Forward Secure Signatures







# What if...

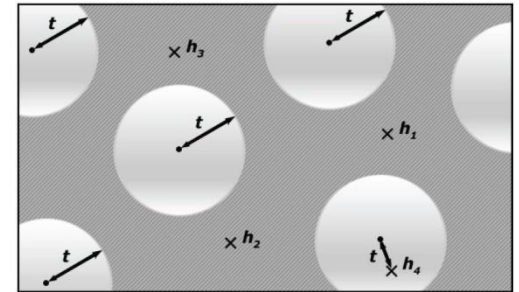


# Post-Quantum Signatures

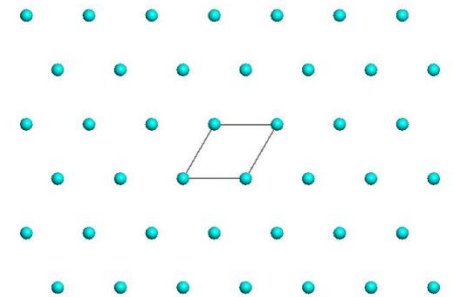


## Lattice, MQ, Coding

-  Signature and/or key sizes
-  Runtimes
-  Secure parameters
-  no forward secure signatures



$$y_1 = x_1^2 + x_1x_2 + x_1x_4 + x_3$$
$$y_2 = x_3^2 + x_2x_3 + x_2x_4 + x_1 + 1$$
$$y_3 = \dots$$



# Hash-based Signature Schemes

[Mer89]



Post quantum

Only secure hash function

Security well understood

Fast

Forward secure (inefficient)

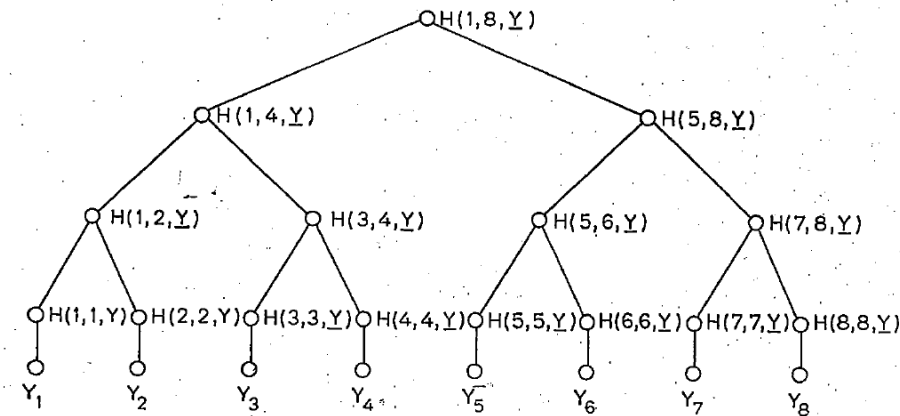
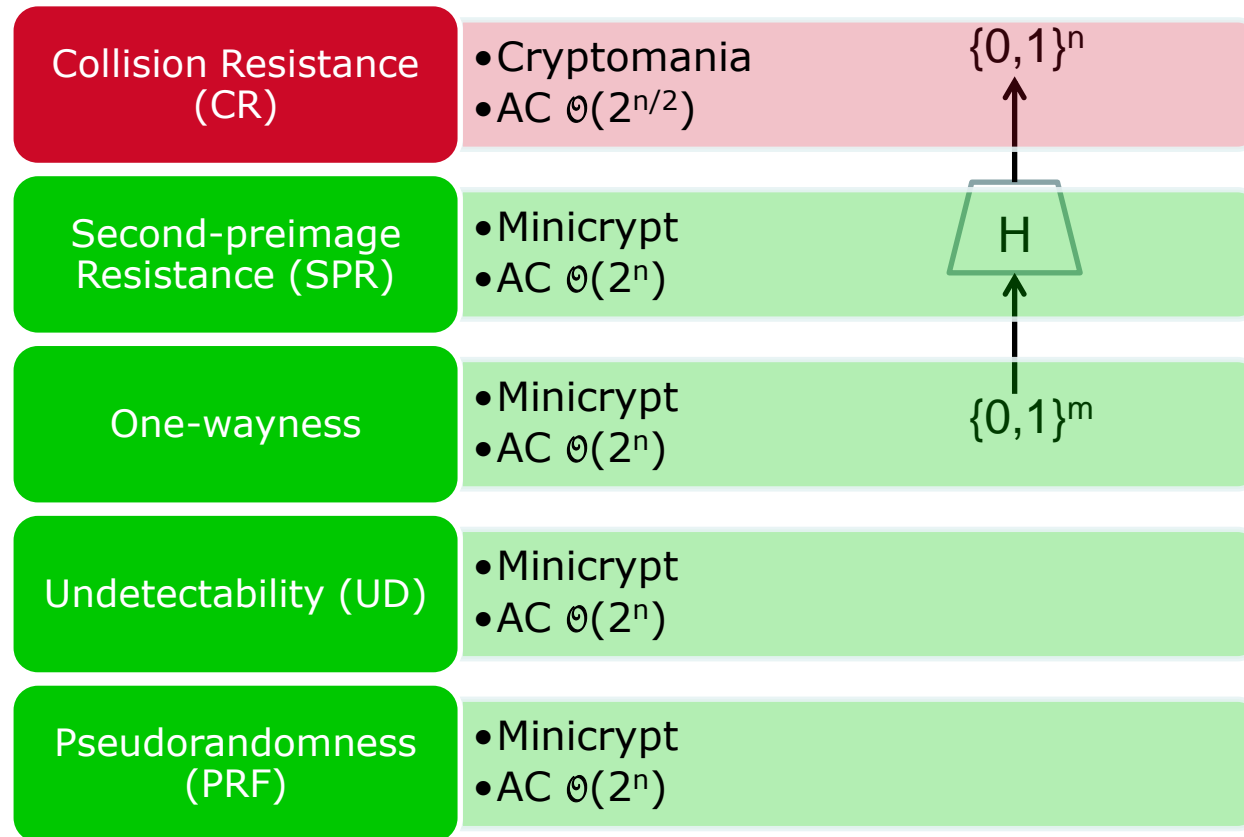


FIG 1  
AN AUTHENTICATION TREE WITH  $N = 8$ .

# Cryptographic Hash Functions

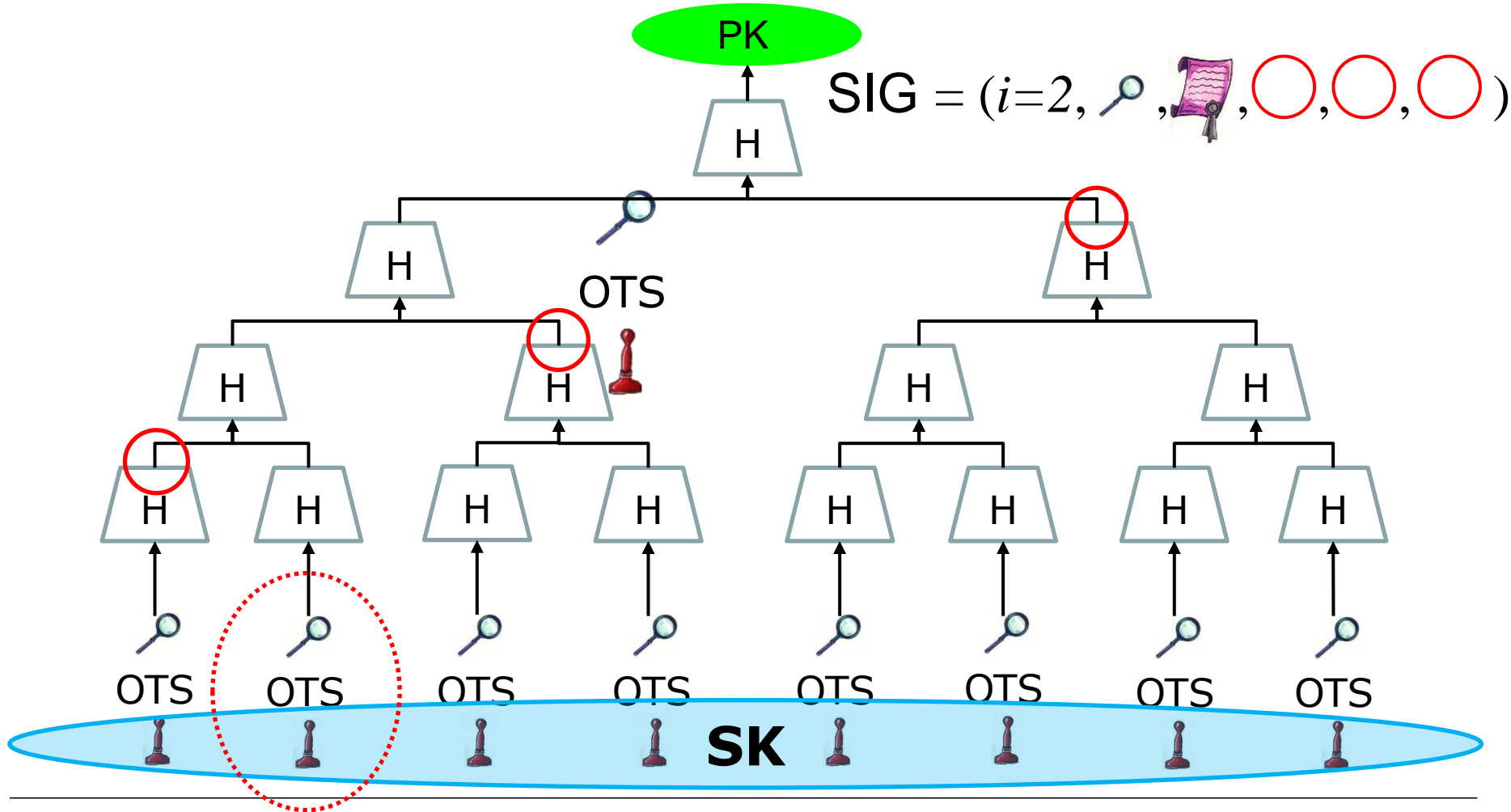


$$\mathcal{H}_n = \{H_K : \{0,1\}^m \rightarrow \{0,1\}^n \mid K \in \{0,1\}^{n'}\}$$





# Hash-based Signatures



# Challenges & Achievements



Minimal security assumptions XOR Efficient

Forward secure XOR Efficient

Large signatures

No full smartcard implementation

Efficient

Minimal security assumptions

„Small signatures“

Forward secure

Full smartcard implementation

# New Variants of the Winternitz One Time Signature Scheme



OTS



# Winternitz OTS (WOTS)

[Mer89; EGM96]



$$\text{SIG} = (i, \cancel{\text{key}}, \text{message}, \text{circle}, \text{circle}, \text{circle})$$

$$|\text{key}| = |\text{message}| = m * |\text{circle}|$$

1.  $\text{key} = f(\text{message})$

2. Trade-off between runtime and signature size

$$|\text{message}| \sim m / \log w * |\text{circle}|$$

# WOTS Function Chain

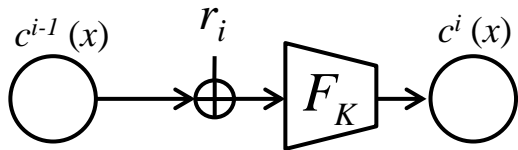


Function family:  $\mathcal{F}_n = \{F_K : \{0,1\}^n \rightarrow \{0,1\}^n \mid K \in \{0,1\}^{n'}\}$

Formerly:  $c^i(x) = F_K(c^{i-1}(x)) = \underbrace{F_K \circ F_K \circ \dots \circ F_K}_{i\text{-times}}(x), K \in \{0,1\}^{n'}$

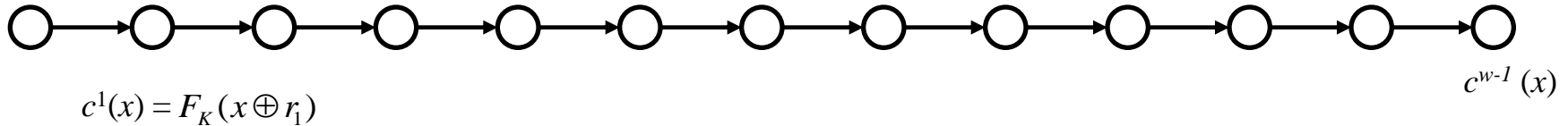
## WOTS+

For  $w \geq 2$  select  $\mathcal{R} = (r_1, \dots, r_{w-1}) \in \{0,1\}^{n \times w-1}, K \in \{0,1\}^{n'}$



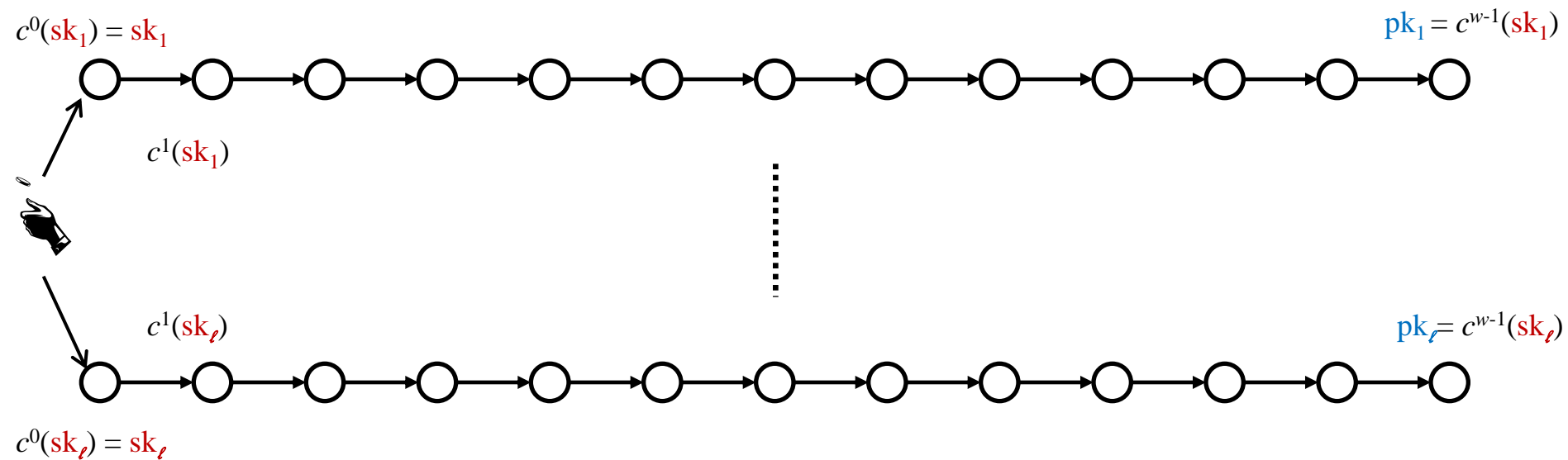
$$c^i(x) = F_K(c^{i-1}(x) \oplus r_i)$$

$c^0(x) = x$

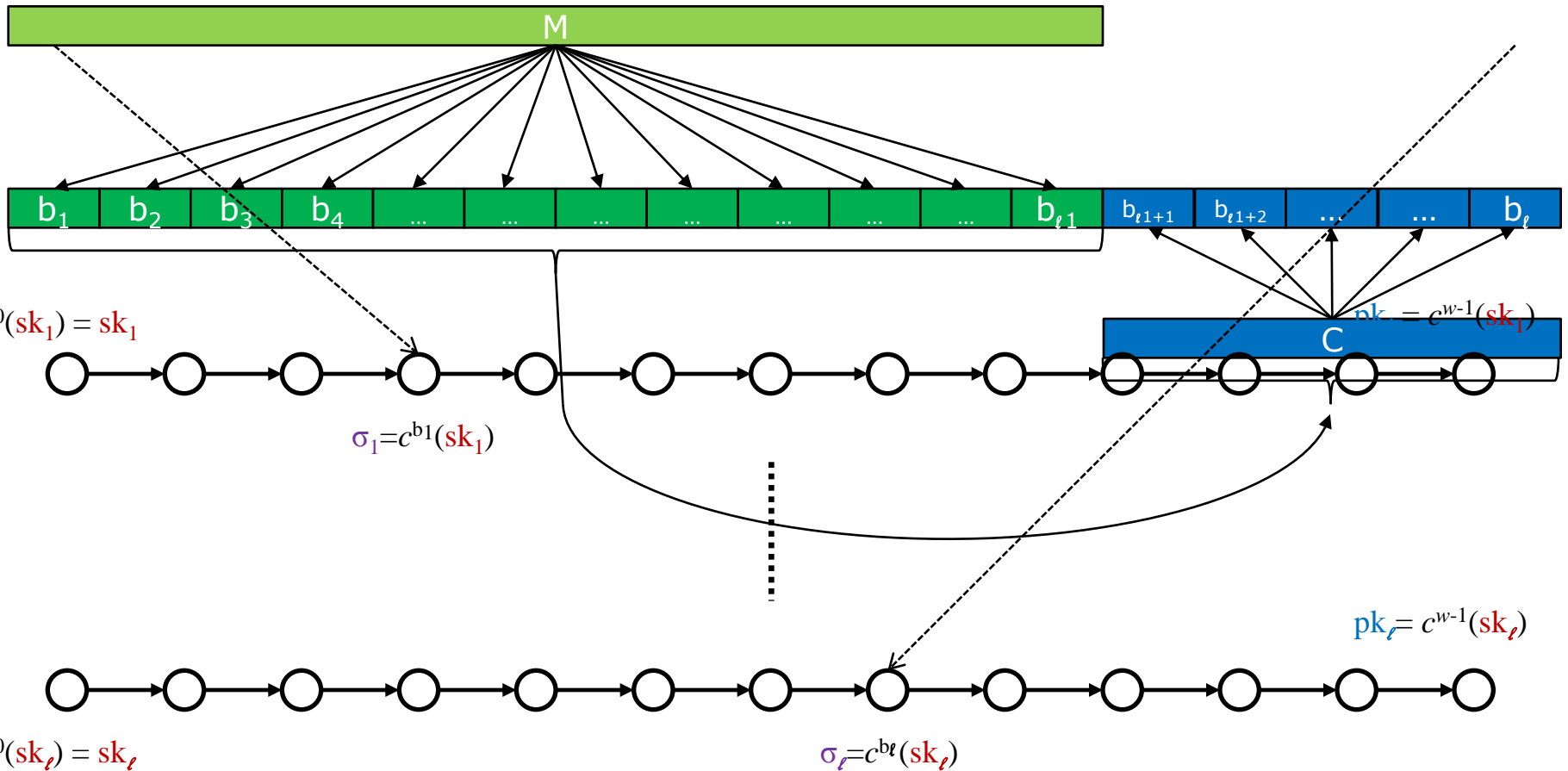


Winternitz parameter  $w$ , security parameter  $n$ , message length  $m$ ,  
function family  $\mathcal{F}_n = \{F_K : \{0,1\}^n \rightarrow \{0,1\}^n \mid K \in \{0,1\}^{n'}\}$

**Key Generation:** Compute  $\ell$ , sample  $K$ , sample  $\mathcal{R}$



# WOTS+ Signature generation



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# Main result

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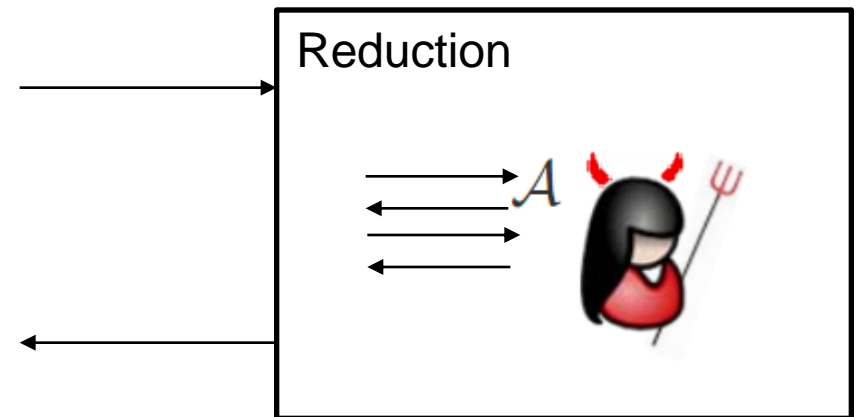


## **Theorem 3.9 (informally):**

*$W\text{-OTS}^+$  is strongly unforgeable under chosen message attacks if  $\mathcal{F}$  is a  $2^{\text{nd}}$ -preimage resistant, undetectable one-way function family*



# Security Proof



# Intuition



Oracle Response:  $(\sigma, M); \quad M \rightarrow (b_1, \dots, b_\ell)$

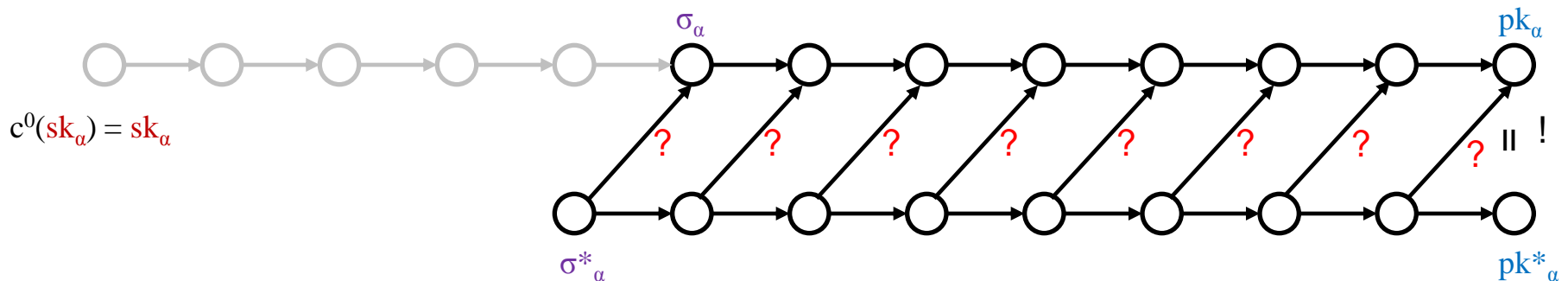
Forgery:  $(\sigma^*, M^*); \quad M^* \rightarrow (b_1^*, \dots, b_\ell^*)$

Observations:

1. Checksum:  $\exists \alpha \in \{1, \dots, \ell\}$  s.th.  $b_\alpha^* < b_\alpha$

2. Verification  $c^{w-1-b_\alpha^*}(\sigma_\alpha^*) = \text{pk}_\alpha = c^{w-1-b_\alpha}(\sigma_\alpha)$

→ "quasi-inversion"



# Intuition, cont'd

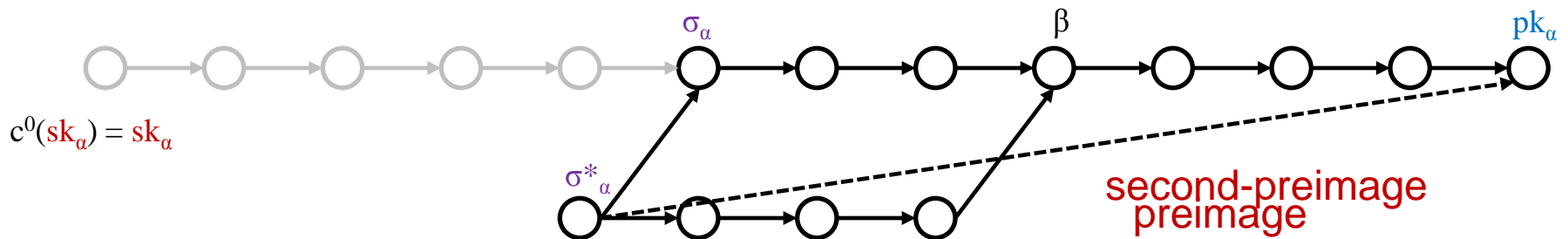
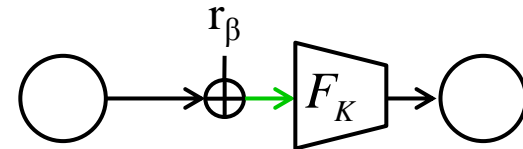


Oracle Response:  $(\sigma, M); \quad M \rightarrow (b_1, \dots, b_\ell)$

Forgery:  $(\sigma^*, M^*); \quad M^* \rightarrow (b_1^*, \dots, b_\ell^*)$

Given:

“quasi-inversion” of  $c$



# Result



Old  
[DSS05]

CR, UD, OW  
 $\mathcal{F}_n$

Cryptomania

$|\text{Sig}| = \ell$   
 $*2b$

WOTS\$  
[BDEHR11]

PRF  $\mathcal{F}_n$

Minicrypt

$|\text{Sig}| = \ell$   
 $*(b+w)$

WOTS+  
[HÜ13]

SPR, UD, OW  
 $\mathcal{F}_n$

Conj.  
Minicrypt

$|\text{Sig}| = \ell$   
 $*(b+\log w)$

# XMSS



# XMSS

[BDH11]



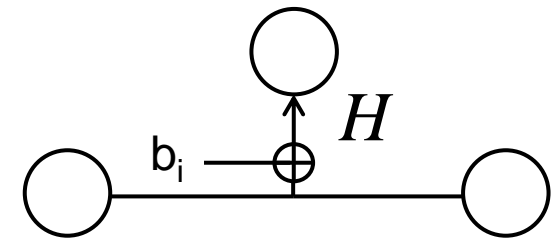
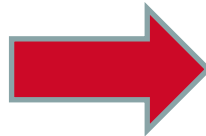
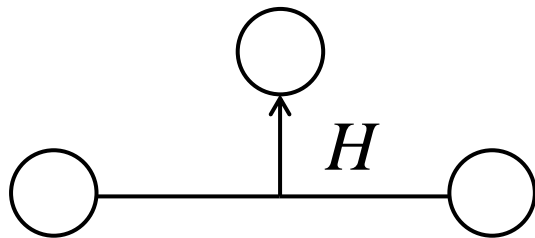
Lamport-Diffie / WOTS



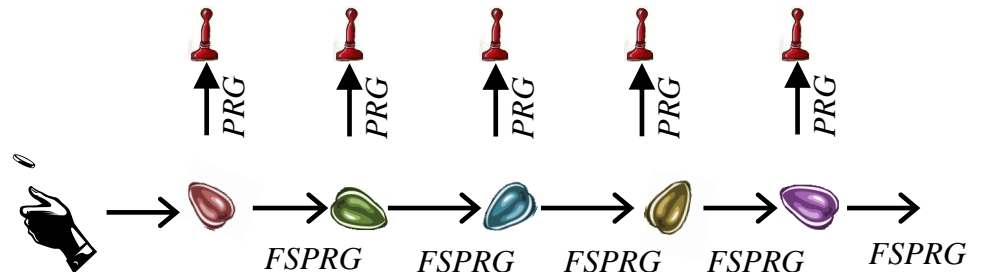
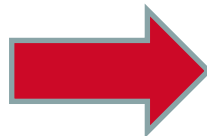
WOTS+ / WOTS\$

Tree construction

[DOTV08]



Pseudorandom key generation



# Result



## SPR-MSS [DOTV08]

Minicrypt

FSS

$$|\text{SK}| = 2^{h+1}bm + \text{TTA}$$

$$|\text{SIG}| \sim 2bm + hb$$

## GMSS (Single Tree) [BDK+07]

Cryptomania

Not FSS

$$|\text{SK}| = b + \text{TTA}$$

$$|\text{SIG}| \sim 2b(m/\log w) + h2b$$

## XMSS [BDH11]

Minicrypt

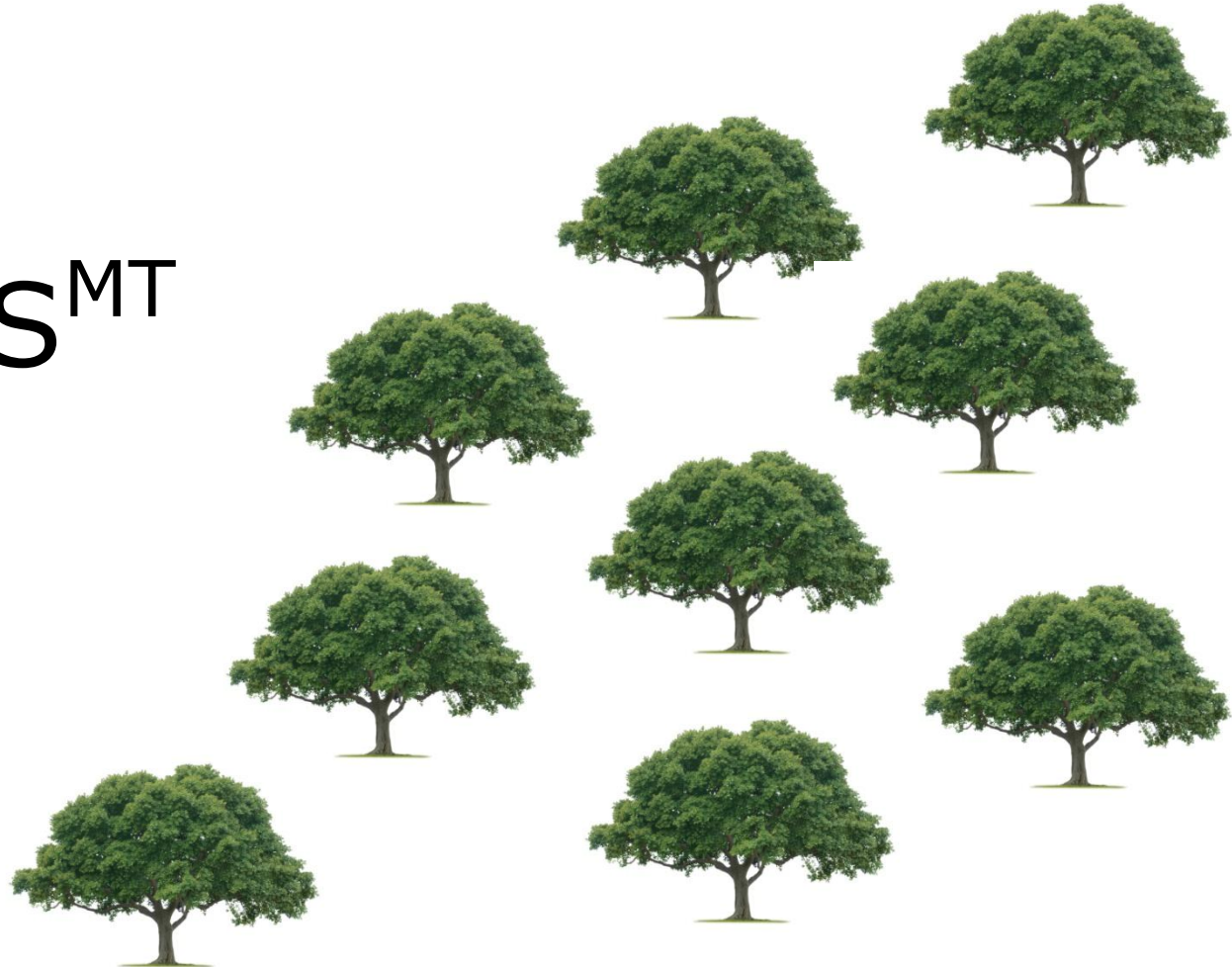
FSS

$$|\text{SK}| = b + \text{TTA}$$

$$|\text{SIG}| \sim b(m/\log w) + hb$$

# Chapter 5

# XMSS<sup>MT</sup>



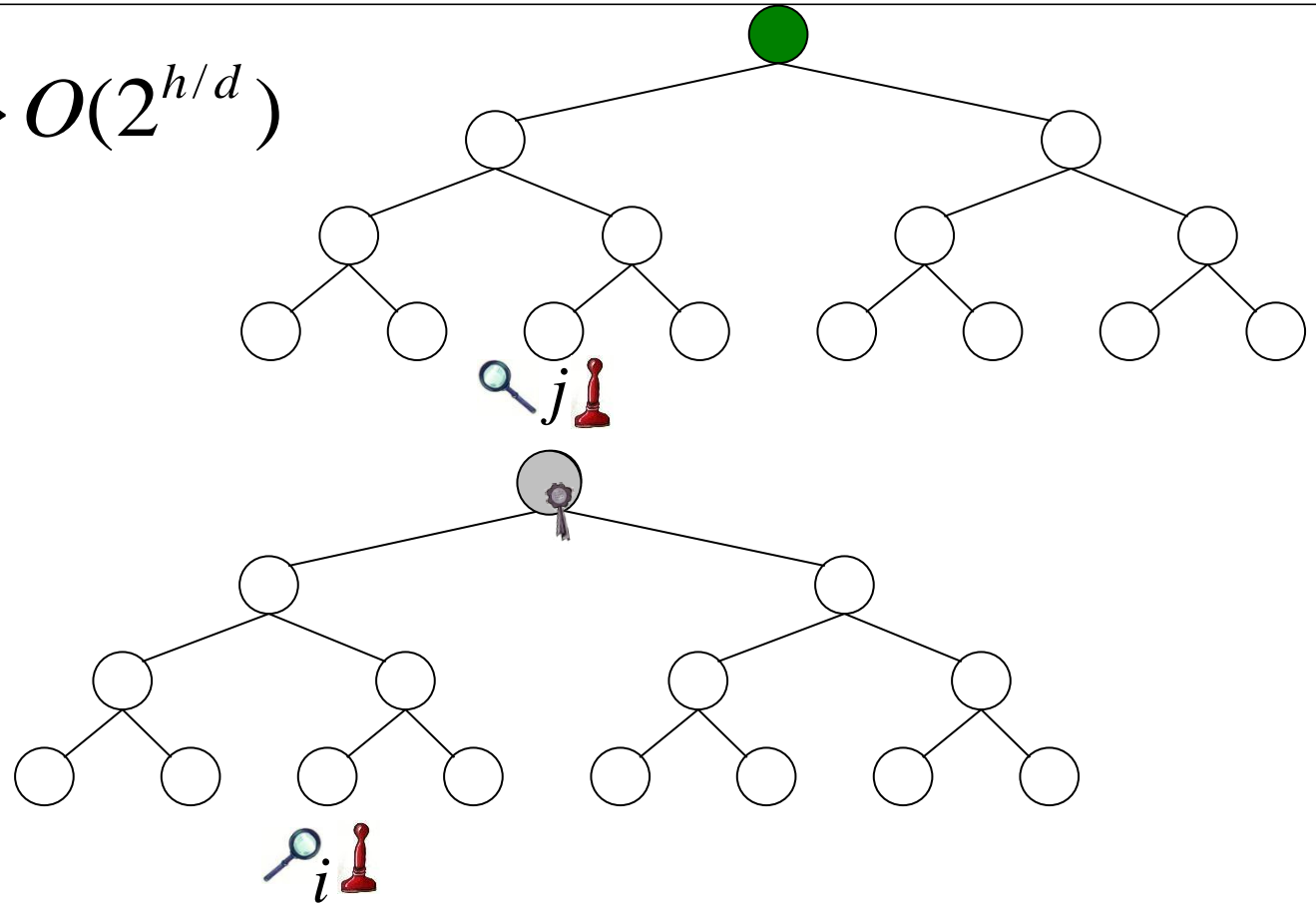


# Tree Chaining

[BGD<sup>+</sup>06, BDK<sup>+</sup>07]



$$t_{KG} : O(2^h) \rightarrow O(2^{h/d})$$



Improved distributed signature generation [HBB12, HRB13]

# Result



**GMSS**  
[BDK+07]

Cryptomania

Not FSS

$$t_{\text{SIG}} = h/2 = \sum h_i/2$$

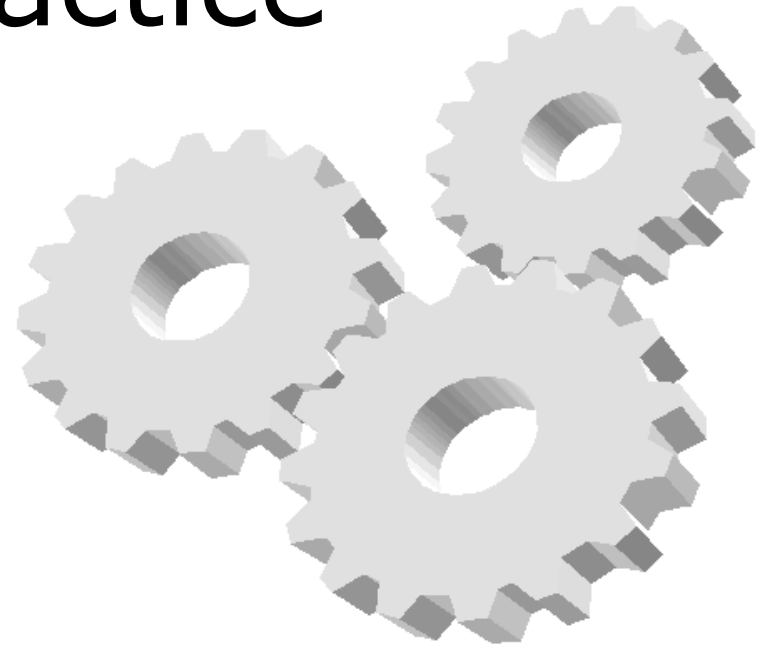
**XMSS<sup>MT</sup>**  
[HBB12,HRB13]

Minicrypt

FSS

$$t_{\text{SIG}} = h_0/2$$

# XMSS\* in Practice



# XMSS Implementations

## C Implementation



C Implementation, using OpenSSL [BDH2011]

	Sign (ms)	Verify (ms)	Signature (bit)	Public Key (bit)	Secret Key (byte)	Bit Security	Comment
XMSS-SHA-2	35.60	1.98	<b>16,672</b>	13,600	3,364	157	h = 20, w = 64,
XMSS-AES-NI	<b>0.52</b>	<b>0.07</b>	19,616	7,328	1,684	84	h = 20, w = 4
XMSS-AES	1.06	0.11	19,616	7,328	1,684	84	h = 20, w = 4
RSA 2048	<b>3.08</b>	<b>0.09</b>	≤ 2,048	≤ 4,096	≤ 512	87	

Intel(R) Core(TM) i5-2520M CPU @ 2.50GHz with Intel AES-NI

# XMSS Implementations

## Smartcard Implementation



	Sign (ms)	Verify (ms)	Keygen (ms)	Signature (byte)	Public Key (byte)	Secret Key (byte)	Bit Sec.	Comment
XMSS	<b>134</b>	23	<b>925,400</b>	2,388	800	2,448	92	H = 16, w = 4
XMSS+	<b>106</b>	25	<b>5,600</b>	3,476	544	3,760	94	H = 16, w = 4
RSA 2048	190	7	11,000	≤ 256	≤ 512	≤ 512	87	

Infineon SLE78 16Bit-CPU@33MHz, 8KB RAM, TRNG, sym. & asym. co-processor

NVM: Card 16.5 million write cycles/ sector,  
XMSS+ < 5 million write cycles (h=20)

[HBB12]

# Conclusion

# Conclusion



Efficient

Minimal security assumptions

„Small signatures“

Forward secure

Full smartcard  
implementation

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# Main Drawback: State

## Easy Migration?



**Interfaces**



**Key Management**



**Thank you!**  
**Questions?**

