

# An update on Hash-based Signatures

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# Trapdoor- / Identification Scheme-based (PQ-)Signatures

## Lattice, MQ, Coding



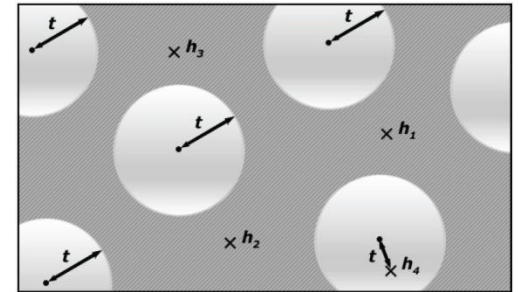
Signature and/or key sizes



Runtimes



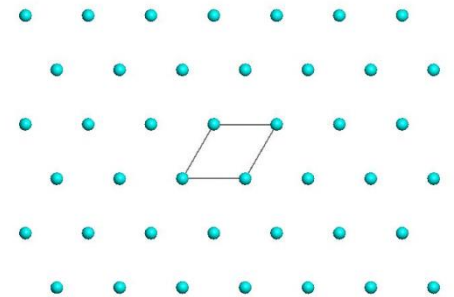
Secure parameters



$$y_1 = x_1^2 + x_1x_2 + x_1x_4 + x_3$$

$$y_2 = x_3^2 + x_2x_3 + x_2x_4 + x_1 + 1$$

$$y_3 = \dots$$



# Hash-based Signature Schemes

[Mer89]

Post quantum

Only secure hash function

Security well understood

Fast

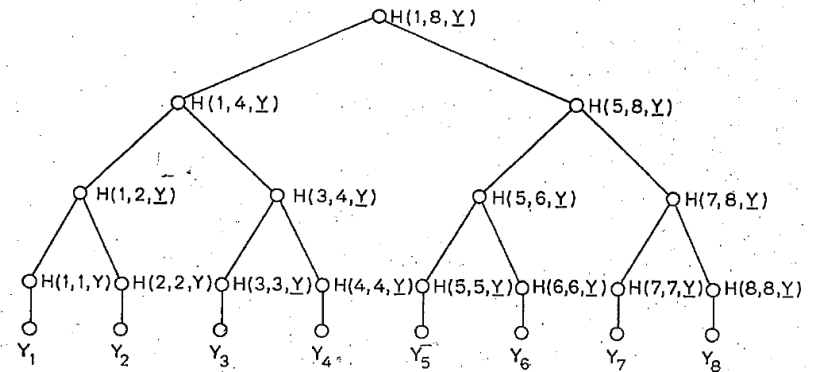
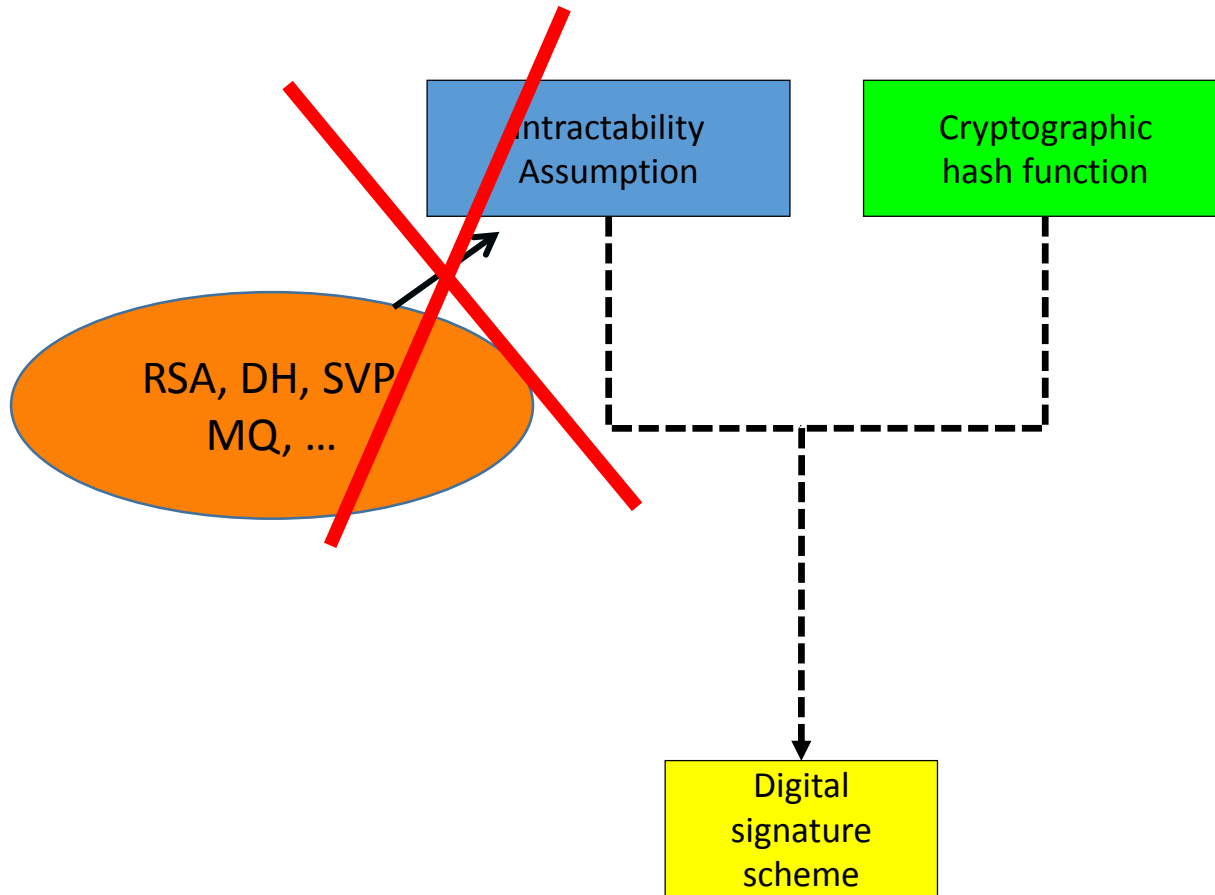


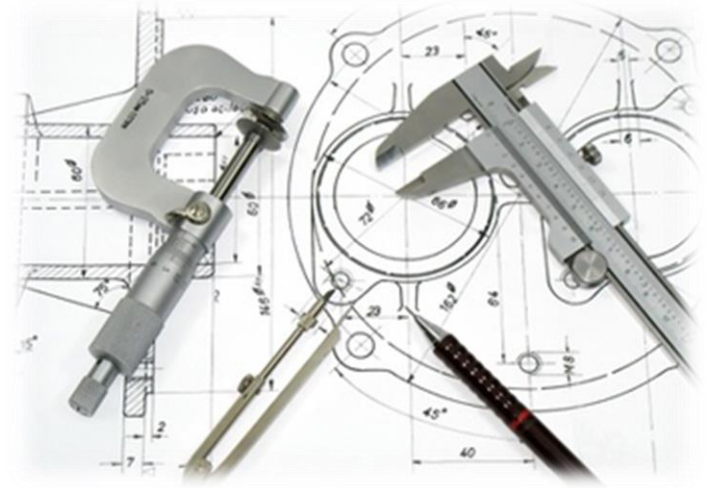
FIG 1  
AN AUTHENTICATION TREE WITH  $N = 8$ .

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# RSA – DSA – EC-DSA...

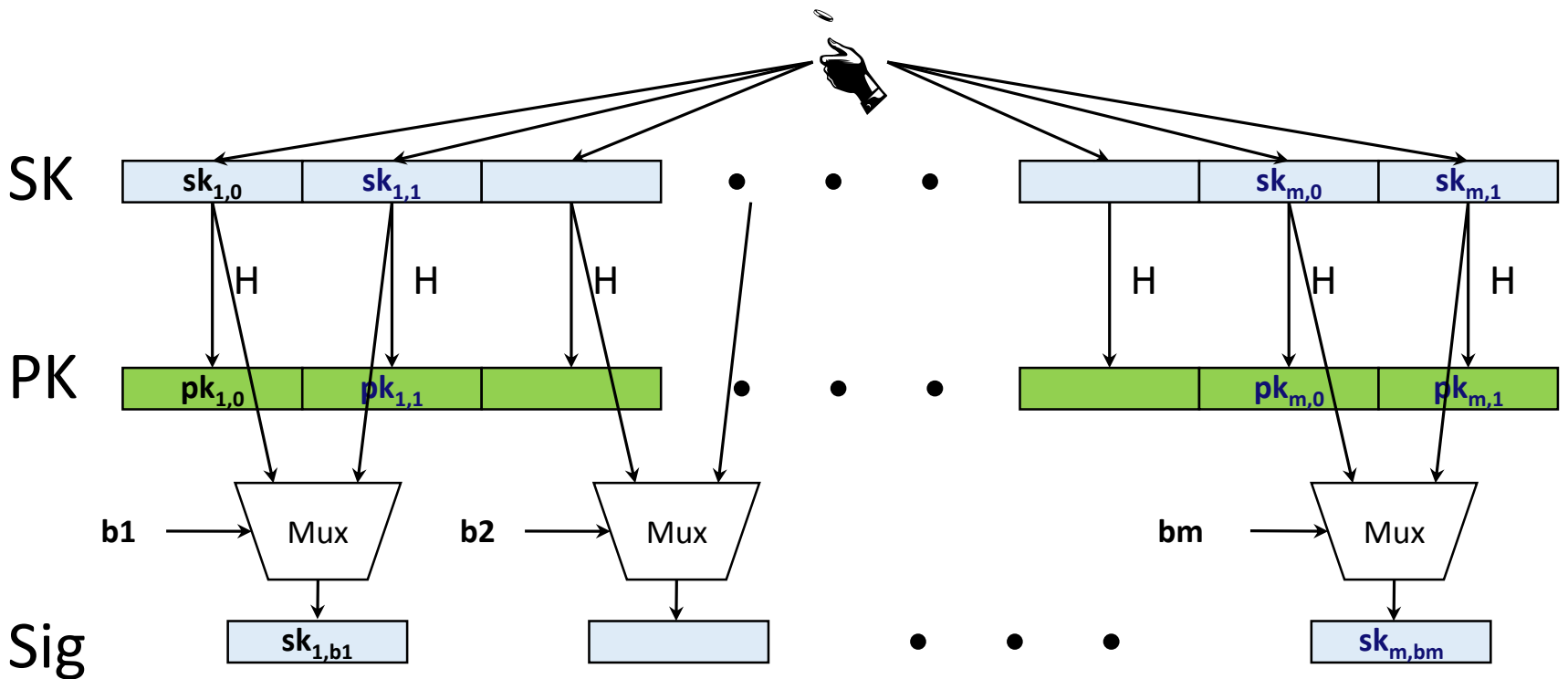


# Basic Construction

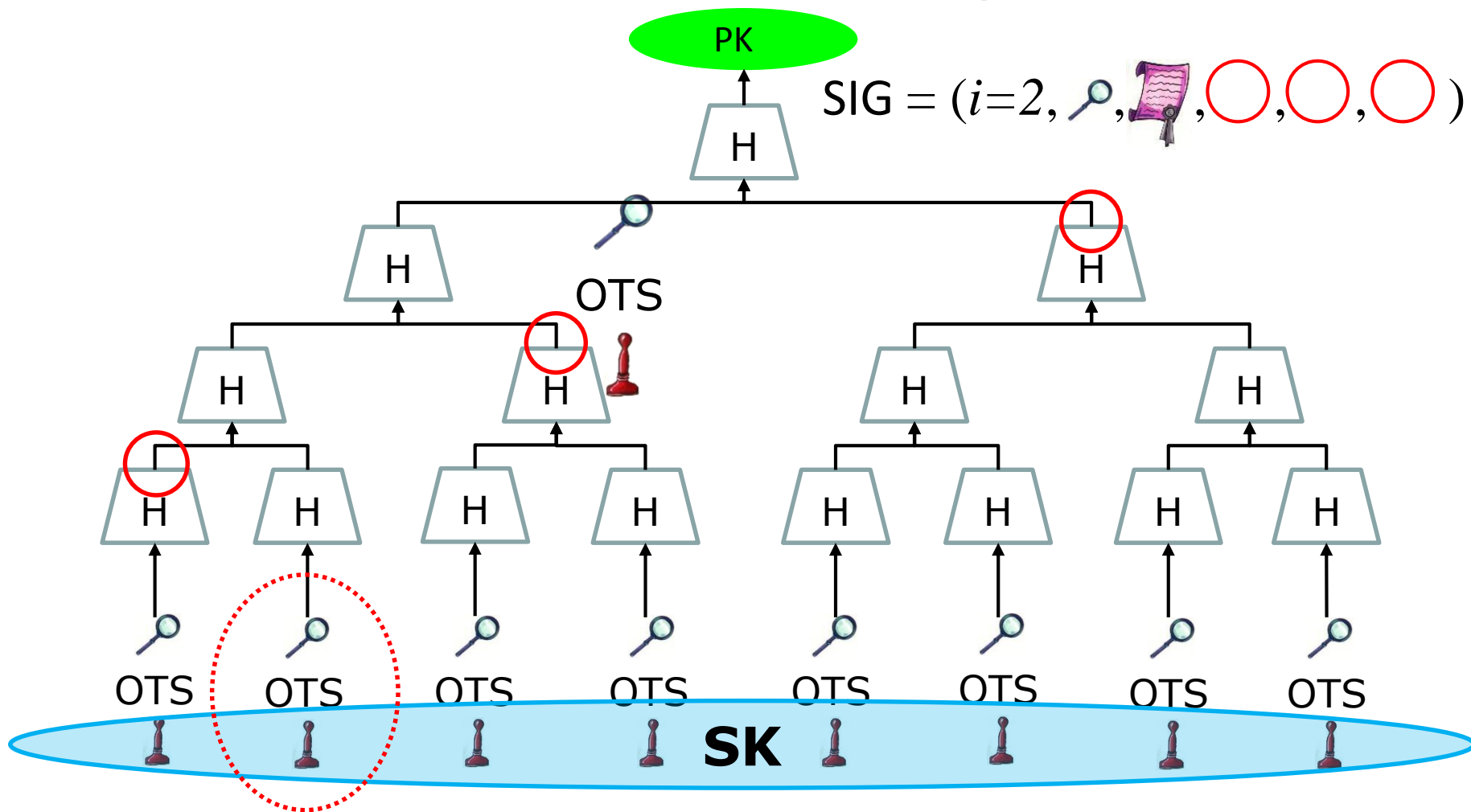


# Lamport-Diffie OTS [Lam79]

Message  $M = b_1, \dots, b_m$ , OWF  $H$        $\boxed{*}$  =  $n$  bit



# Merkle's Hash-based Signatures



Winternitz-OTS



# Function chains

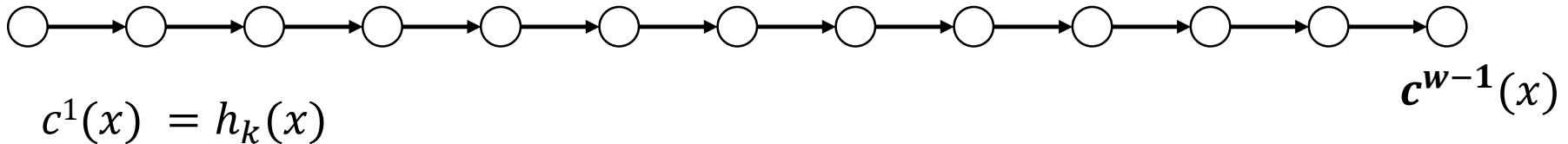
Function family:  $H_n := \{h_k: \{0,1\}^n \rightarrow \{0,1\}^n\}$

$$h_k \stackrel{\$}{\leftarrow} H_n$$

Parameter  $w$

Chain:  $c^i(x) = h_k(c^{i-1}(x)) = \underbrace{h_k \circ h_k \circ \dots \circ h_k}_{i\text{-times}}(x)$

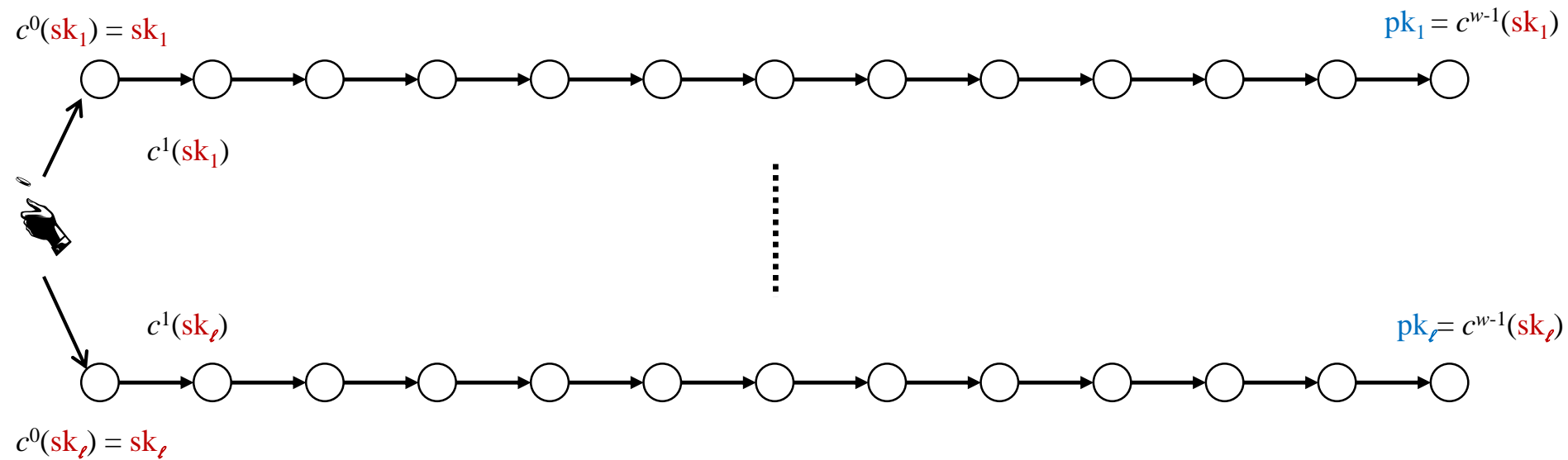
$$c^0(x) = x$$



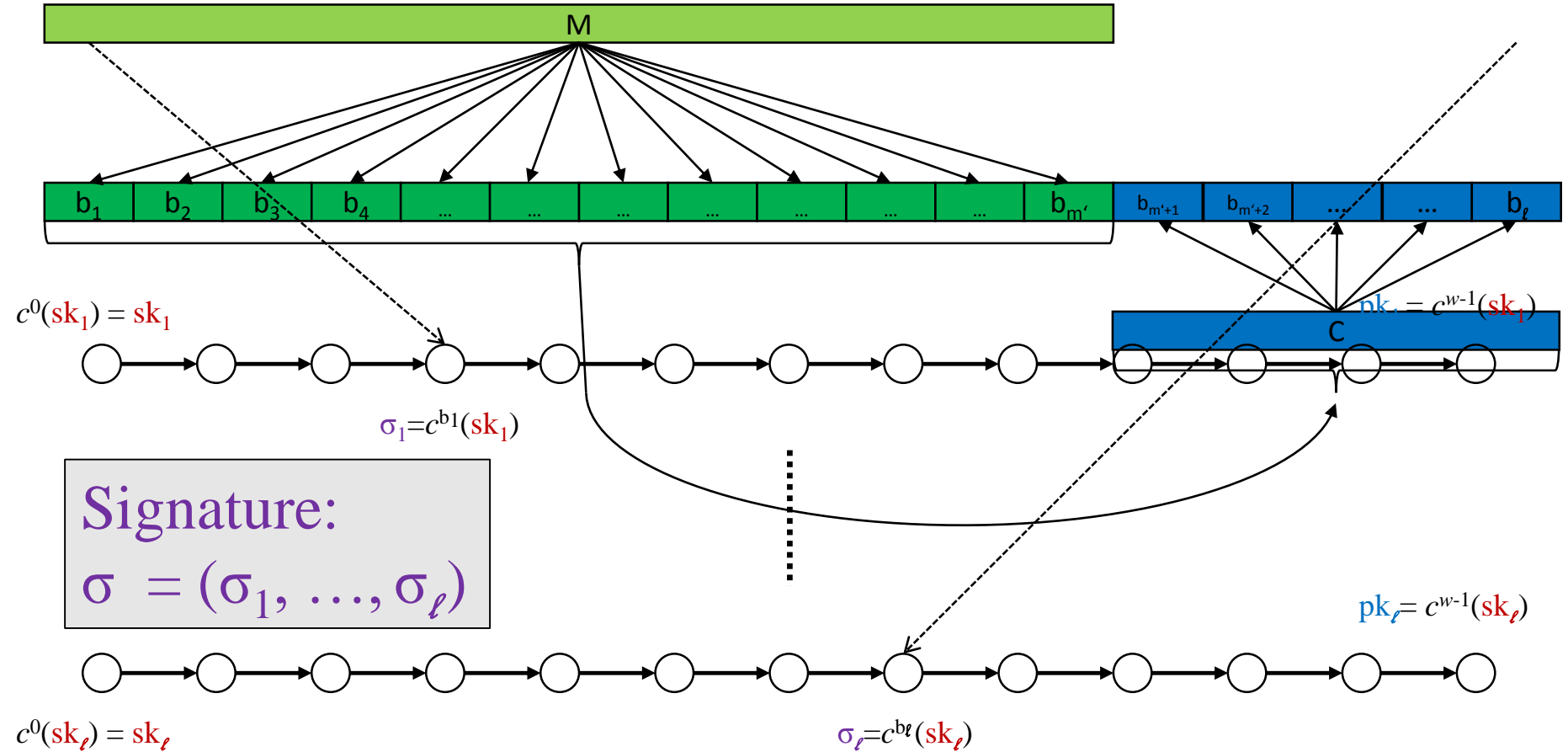
# WOTS

Winternitz parameter  $w$ , security parameter  $n$ ,  
message length  $m$ , function family  $H_n$

**Key Generation:** Compute  $l$ , sample  $h_k$

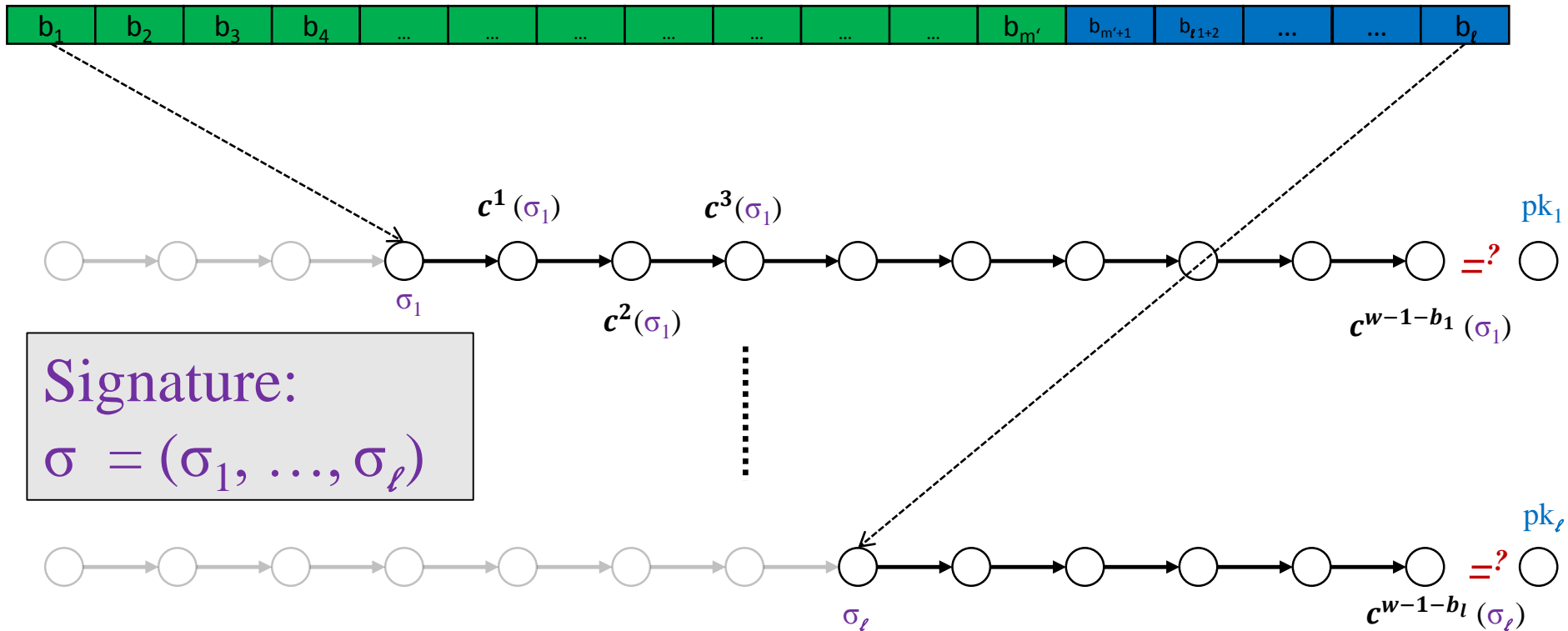


# WOTS Signature generation



# WOTS Signature Verification

Verifier knows:  $M, w$



# WOTS Function Chains

For  $x \in \{0,1\}^n$  define  $c^0(x) = x$  and

- WOTS:  $c^i(x) = h_k(c^{i-1}(x))$
- WOTS<sup>\$</sup>:  $c^i(x) = h_{c^{i-1}(x)}(r)$
- WOTS<sup>+</sup>:  $c^i(x) = h_k(c^{i-1}(x) \oplus r_i)$

# WOTS Security

## Theorem (informally):

*W-OTS is strongly unforgeable under chosen message attacks if  $H_n$  is a **collision resistant family of undetectable one-way functions**.*

*W-OTS<sup>\$</sup> is existentially unforgeable under chosen message attacks if  $H_n$  is a **pseudorandom function family**.*

*W-OTS<sup>+</sup> is strongly unforgeable under chosen message attacks if  $H_n$  is a **2<sup>nd</sup>-preimage resistant family of undetectable one-way functions**.*

Standardizing hash-based  
signatures.

The case of XMSS

# XMSS

Tree: Uses bitmasks

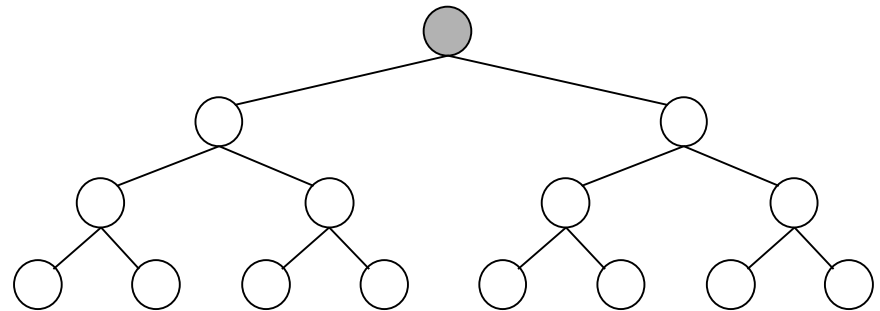
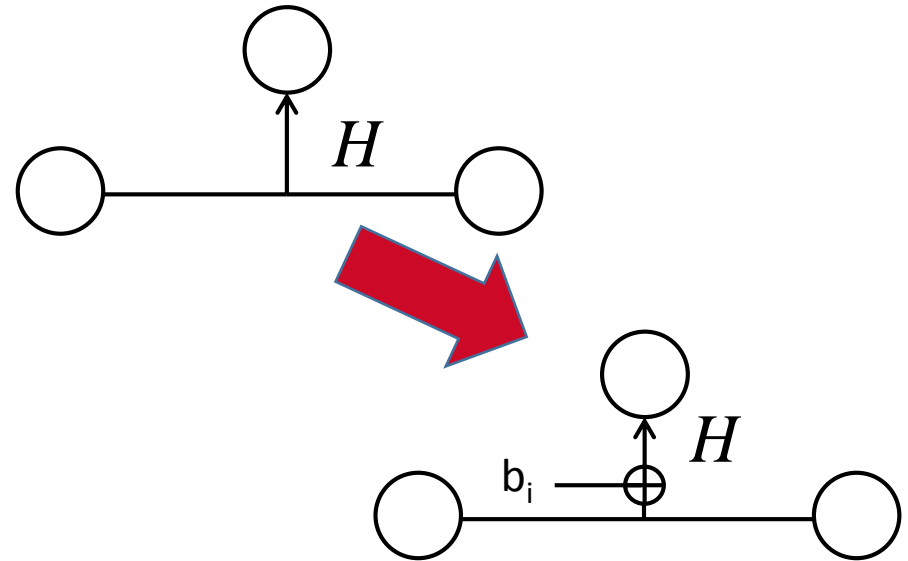
Leafs: Use binary tree with bitmasks

OTS: WOTS<sup>+</sup>

Message digest:  
Randomized hashing

Collision-resilient

-> signature size halved





# Multi-Tree XMSS

Uses multiple layers of trees

-> Key generation

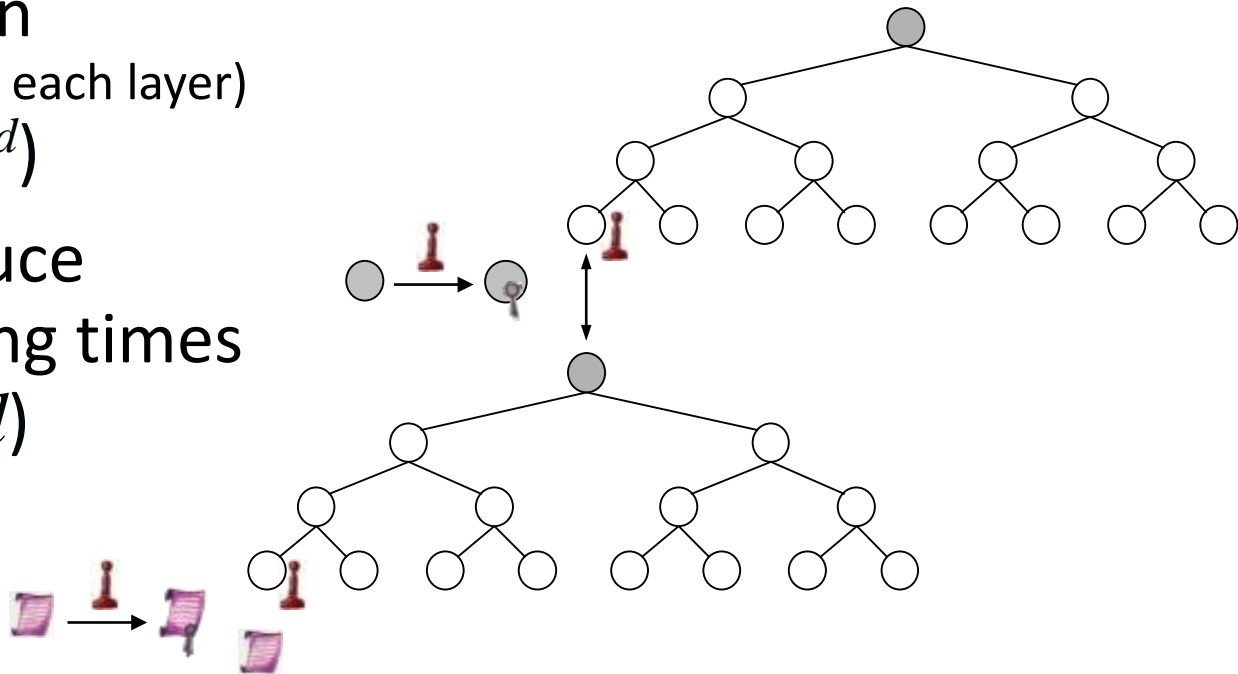
(= Building first tree on each layer)

$$\Theta(2^h) \rightarrow \Theta(d * 2^{h/d})$$

-> Allows to reduce

worst-case signing times

$$\Theta(h/2) \rightarrow \Theta(h/2d)$$



# Multi-target attacks

What is the bit security of XMSS using a  $n = 256$  bit hash function?

256 bit?

No!

# Multi-target attacks

It suffices to invert  $h_k$  on one out of  
 $\sim N \cdot w \cdot l$

different values. (For  $N = \#$ WOTS key pairs,  $m =$  message length,  $w =$  Winternitz parameter,  $l = |$ WOTS message encoding $|$ )

Attack complexity:  $2^{n - \log(Nwl)}$

For  $n = m = 256, N = 2^{20}, w = 16, l \sim 64$

approx. 226 bit security

Similar problem applies for second-preimage resistance.

# Multi-target attacks

Attack complexity:  $2^n - \log(Nwl)$

Reason:

- Many targets for same function
- Each hash query can be used for all targets
- Dependent problems

# Solution?

Use different elements from function family for each hash (and different bitmasks).

- Makes problems independent
- Each hash query can only be used for one target!

# XMSS-Draft since -01

Each hash function call (excl. message hash) takes now a key and a bitmask.

Issue: Order of  $N \cdot w \cdot l$  keys and bitmasks that have to be published.

Put them into PK? **Impractical**

Solution: PRG + Seed in PK

# XMSS-Draft since -01

Solution: PRG + Seed in PK

Security:

- Not really standard model.
- Natural but new assumption („Generating the public values using a PRG, the scheme does not get less secure if seed is published.“),
- Or ROM

# SPHINCS: practical stateless hash-based signatures

joint work with Daniel J. Bernstein, Daira Hopwood, Tanja Lange, Ruben Niederhagen, Louiza Papachristodoulou, Michael Schneider, Peter Schwabe, Zooko Wilcox O'Hearn



ELIMINATE



THE STATE

# Protest?



© AP

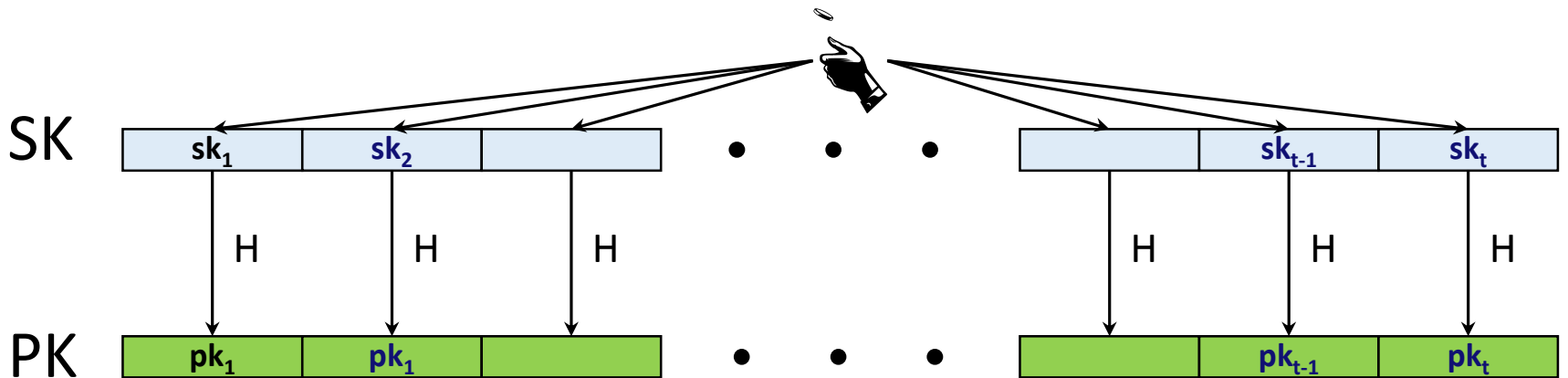
# Few-Time Signature Schemes



# HORS [RR02]

Message  $M$ , OWF  $H$ , CRHF  $H'$        $\boxed{*}$  =  $n$  bit

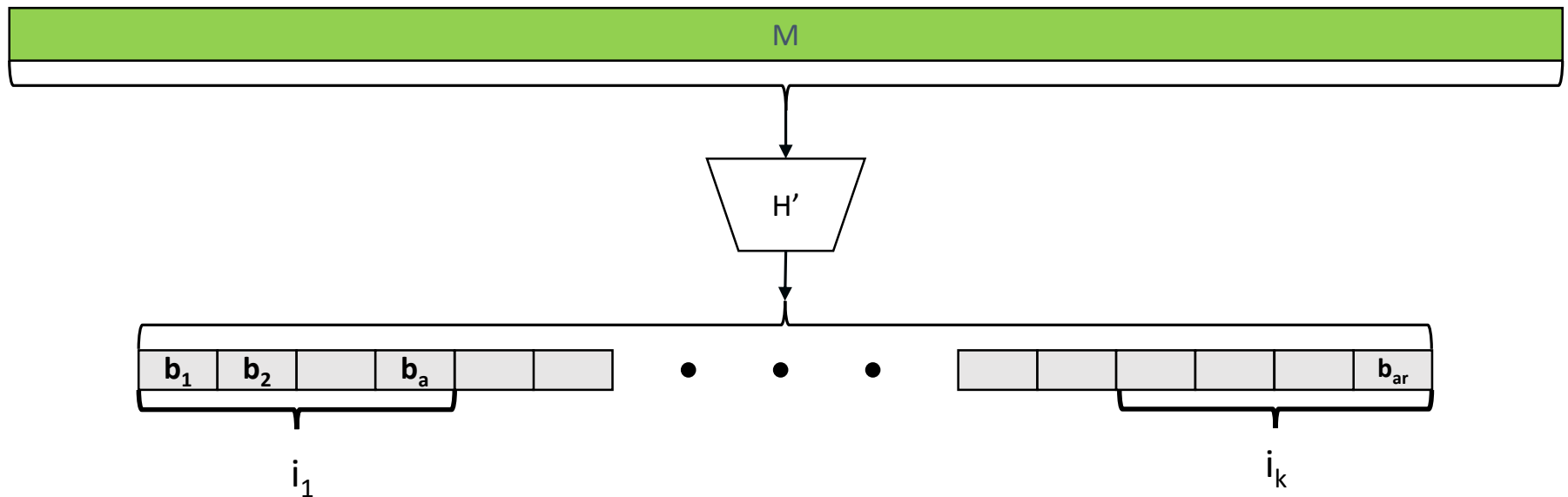
Parameters  $t=2^a, k$ , with  $m = ka$  (typical  $a=16, k=32$ )



# HORS mapping function

Message  $M$ , OWF  $H$ , CRHF  $H'$        $\boxed{*}$  =  $n$  bit

Parameters  $t=2^a, k$ , with  $m = ka$  (typical  $a=16, k=32$ )

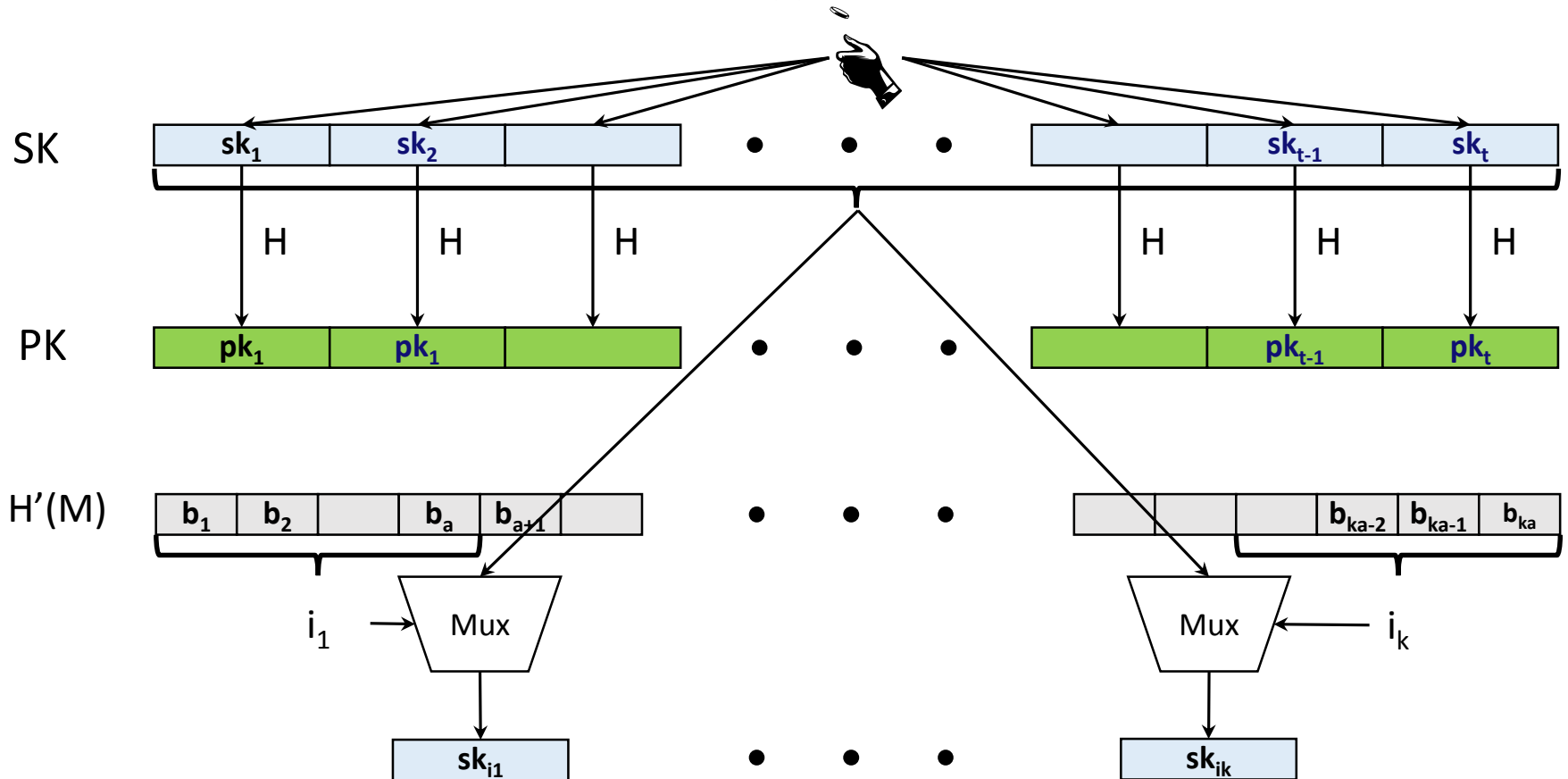


# HORS

Message  $M$ , OWF  $H$ , CRHF  $H'$

$\boxed{*}$  =  $n$  bit

Parameters  $t=2^a, k$ , with  $m = ka$  (typical  $a=16, k=32$ )



# HORS Security

- $M$  mapped to  $k$  element index set  $M^i \in \{1, \dots, t\}^k$
- Each signature publishes  $k$  out of  $t$  secrets
- Either break one-wayness or...
- r-Subset-Resilience: After seeing index sets  $M_j^i$  for  $r$  messages  $msg_j, 1 \leq j \leq r$ , hard to find  $msg_{r+1} \neq msg_j$  such that  $M_{r+1}^i \in \bigcup_{1 \leq j \leq r} M_j^i$ .
- Best generic attack:  $\text{Succ}_{r\text{-SSR}}(A, q) = q \left(\frac{rk}{t}\right)^k$   
→ Security shrinks with each signature!

# HORST

Using HORS with MSS requires adding PK (tn) to MSS signature.

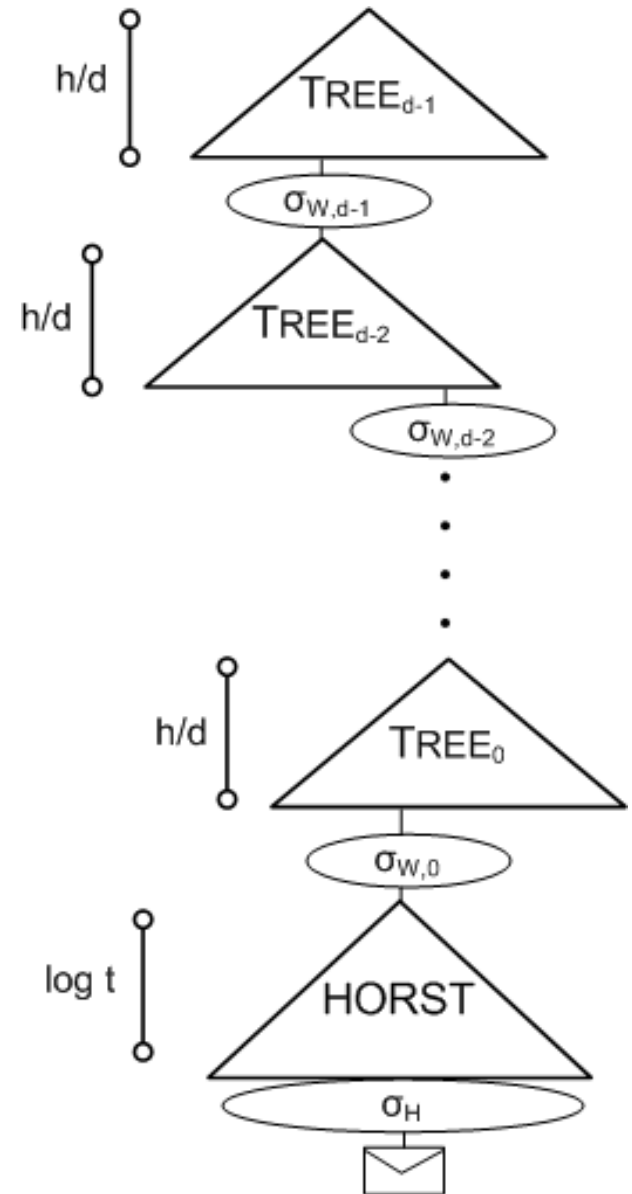
HORST: Merkle Tree on top of HORS-PK

- New PK = Root
- Publish Authentication Paths for HORS signature values
- PK can be computed from Sig
- With optimizations:  $tn \rightarrow (k(\log t - x + 1) + 2^x)n$ 
  - E.g. SPHINCS-256: 2 MB  $\rightarrow$  16 KB
- Use randomized message hash



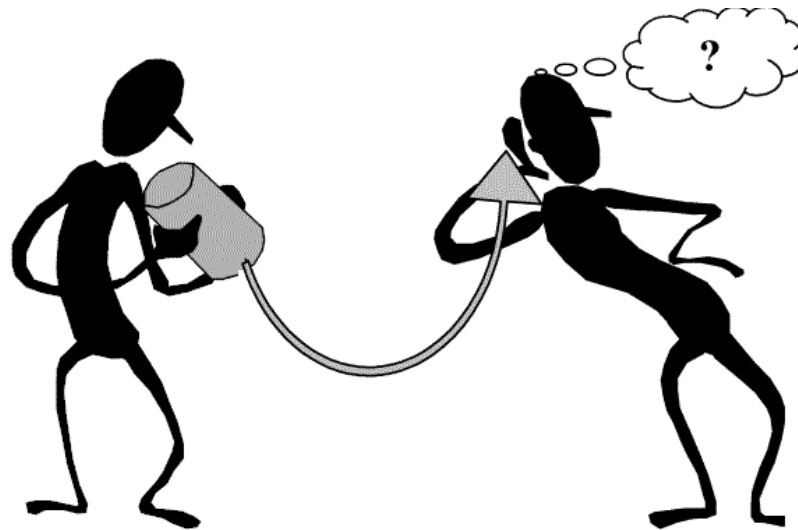
# SPHINCS

- Stateless Scheme
- XMSS<sup>MT</sup> + HORST  
+ (pseudo-)random index
- Collision-resilient
- Deterministic signing
- SPHINCS-256:
  - 128-bit post-quantum secure
  - Hundrest of signatures / sec
  - 41 kb signature
  - 1 kb keys



# Thank you!

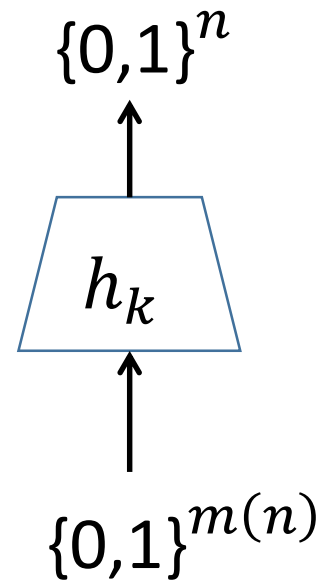
## Questions?



For references & further literature see  
<https://huelsing.wordpress.com/hash-based-signature-schemes/literature/>

# (Hash) function families

- $H_n := \{h_k: \{0,1\}^{m(n)} \rightarrow \{0,1\}^n\}$
- $m(n) \geq n$
- „efficient“



# One-wayness

$$H_n := \{h_k: \{0,1\}^{m(n)} \rightarrow \{0,1\}^n\}$$

$$\begin{aligned} & \overset{\$}{h_k} \leftarrow H_n \\ & \overset{\$}{x} \leftarrow \{0,1\}^{m(n)} \\ & y_c \leftarrow h_k(x) \end{aligned}$$

Success if  $h_k(x^*) = y_c$



# Collision resistance

$$H_n := \{h_k: \{0,1\}^{m(n)} \rightarrow \{0,1\}^n\}$$

$$h_k \stackrel{\$}{\leftarrow} H_n$$

Success if

$$h_k(x_1^*) = h_k(x_2^*)$$



# Second-preimage resistance

$$H_n := \{h_k: \{0,1\}^{m(n)} \rightarrow \{0,1\}^n\}$$

$$h_k \stackrel{\$}{\leftarrow} H_n$$

$$x_c \stackrel{\$}{\leftarrow} \{0,1\}^{m(n)}$$

Success if

$$h_k(x_c) = h_k(x^*)$$

$x_c, k$



$x^*$

# Undetectability

$$H_n := \{h_k: \{0,1\}^{m(n)} \rightarrow \{0,1\}^n\}$$

$$h_k \stackrel{\$}{\leftarrow} H_n$$

$$b \stackrel{\$}{\leftarrow} \{0,1\}$$

if  $b = 1$

$$x \stackrel{\$}{\leftarrow} \{0,1\}^{m(n)}$$

$$y_c \leftarrow h_k(x)$$

else

$$y_c \stackrel{\$}{\leftarrow} \{0,1\}^n$$



# Pseudorandomness

$$H_n := \{h_k: \{0,1\}^{m(n)} \rightarrow \{0,1\}^n\}$$

