

# Decisional second-preimage resistance

When does SPR imply PRE?

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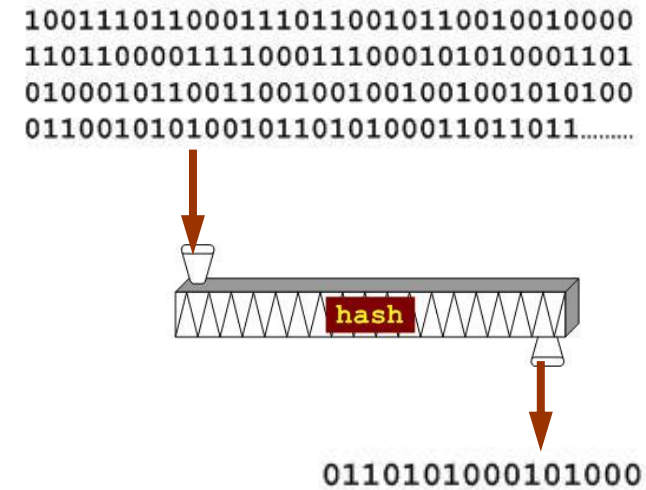
# Motivation

## This work

- answers a long standing subtle question about the relation of hash function properties
- provides a tool that enables tight security proofs for hash-based signatures (XMSS-T & SPHINCS<sup>+</sup>)

# Cryptographic hash functions

- Efficient function  
 $h: \{0,1\}^n \times \{0,1\}^{l(n)} \rightarrow \{0,1\}^n$
- We write  $h(k, x) = h_k(x)$
- Key  $k$  in this case is public information.  
Think of function description.



# Collision resistance

Success probability of an adversary  $A$  against *collision resistance (CR)* of  $h$  is defined as

$$\text{Succ}_h^{CR}(A) = \Pr[k \leftarrow_R \{0,1\}^n, (x_1, x_2) \leftarrow A(k):$$

$$h_k(x_1) = h_k(x_2) \wedge (x_1 \neq x_2)]$$

# Second-preimage resistance (SPR)

Success probability of an adversary  $A$  against *second-preimage resistance (SPR)* of  $h$  is defined as

$$\text{Succ}_h^{SPR}(A) = \Pr[k \leftarrow_R \{0,1\}^n, x \leftarrow_R \{0,1\}^{l(n)},$$

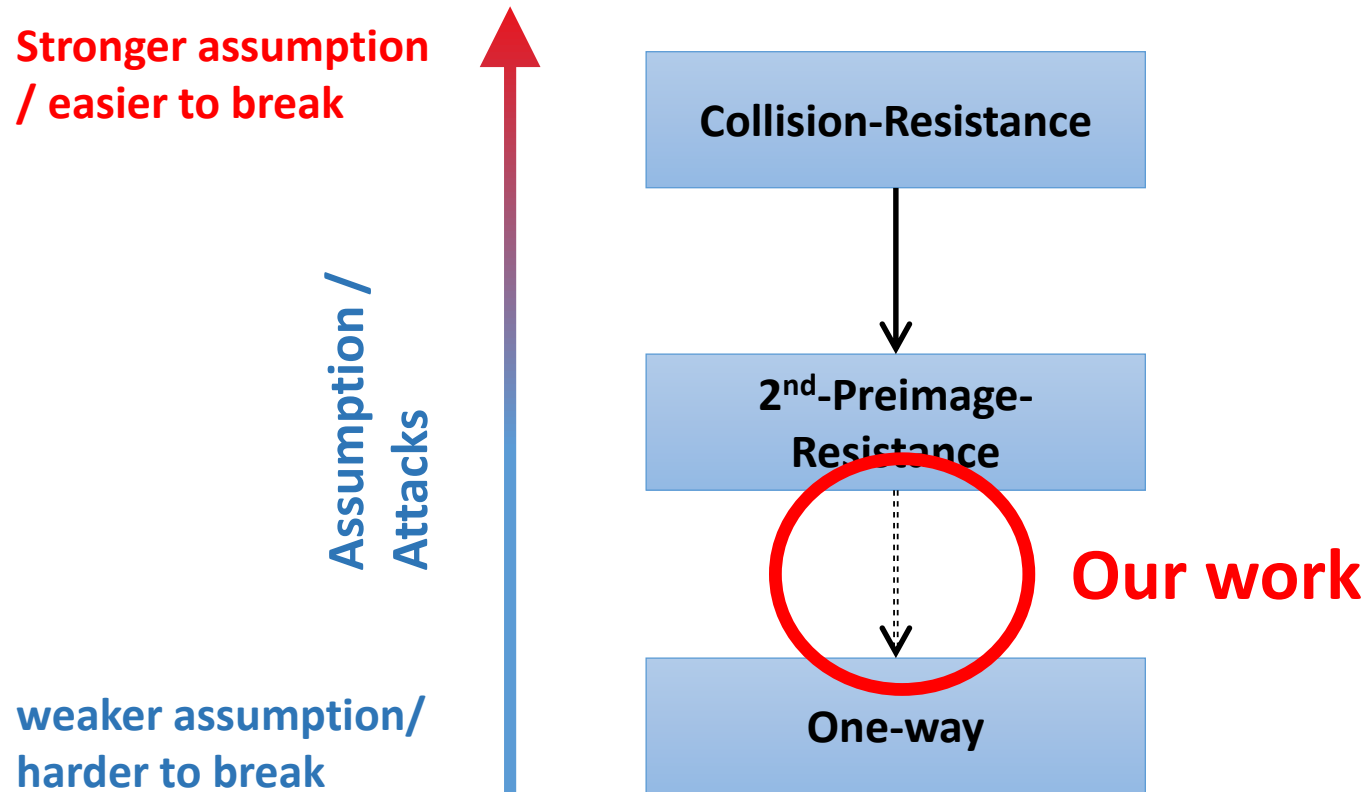
$$x' \leftarrow A(k, x): h_k(x) = h_k(x') \wedge (x \neq x')]$$

# Security properties: Preimage resistance / One-wayness

Success probability of an adversary  $A$  against *preimage resistance (PRE)* of  $h$  is defined as

$$\text{Succ}_h^{\text{PRE}}(A) = \Pr[k \leftarrow_R \{0,1\}^n, x \leftarrow_R \{0,1\}^{l(n)}, \\ y \leftarrow h_k(x), x' \leftarrow A(k, y): h_k(x') = y]$$

# Relations



# CR implies SPR?

Reduction  $B_{CR}^{A_{SPR}}(k)$ :

1.  $x \leftarrow_R \{0,1\}^{l(n)}$
2.  $x' \leftarrow A_{SPR}(k, x)$
3. Return  $(x, x')$

$$\text{Succ}_h^{CR}(B_{CR}^{A_{SPR}}) = \text{Succ}_h^{SPR}(A_{SPR})$$



# SPR implies PRE?

Reduction  $B_{SPR}^{APRE}(k, x)$ :

1.  $y = h_k(x)$
2.  $x' \leftarrow A_{PRE}(k, y)$
3. Return  $x'$

$$\text{Succ}_h^{SPR}(B_{SPR}^{APRE}) \stackrel{?}{\geq} 0.5 \cdot \text{Succ}_h^{PRE}(A_{PRE})$$

Where is the  
problem?

# Positive result

Rogaway-Shrimpton (FSE 2004) show that for  $l(n)$  sufficiently greater than  $n$  we are fine

# Negative result

The **identity function** demonstrates that SPR cannot unconditionally imply PRE.

# The gap

Functions with  $l(n) \approx n$   
(especially length preserving)

Exactly the ones we use  
in hash-based OTS

Are we doomed?

# The general case

- $\text{SHA-X} \neq \text{identity function}$
- $\text{SHA-X} \approx \text{random function}$

# Fooling the reduction

- Reductions have to work **for all**  $A$ !

- $A_{PRE}(k, y)$ :

1. Compute  $X = f_k^{-1}(y)$
2. If  $|X| > 1$ , abort
3. Else  $X = \{x\}$ , return  $x$

Reduction  $B_{SPR}^{APRE}(k, x)$ :

1.  $y = h_k(x)$
2.  $x' \leftarrow A_{PRE}(k, y)$
3. Return  $x'$

For  $f_k: \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1\}^n$  random,

$$\text{Succ}_h^{PRE}(A_{PRE}) = \frac{1}{e}$$

$$\text{Succ}_h^{SPR}(B_{SPR}^{APRE}) = 0$$

# Decisional second-preimage resistance (DSPR) to the rescue!

- $P_k(x) = \begin{cases} 0, & \text{if } |f_k^{-1}(f_k(x))| = 1 \\ 1, & \text{otherwise} \end{cases}$
- Can salvage reduction  $B_{SPR}^{APRE}$  if
  1.  $\text{SPprob}(f_k) = \Pr[P_k(x) = 1 \mid x \leftarrow_R \{0,1\}^n]$  is non-negligible, and
  2. it is hard to reliably determine  $P_k(x)$

We show that  $\text{SPprob}(f_k) \approx \left(1 - \frac{1}{e}\right)$  for the overwhelming majority of all functions. E.g., for a random 256bit hash  $f_k$

$$\Pr[\text{SPprob}(f_k) < 0.6] \approx 2^{-2^{239}}$$

DSPR = “It is hard to reliably determine  $P_k(x)$ ”

$$\text{Adv}_f^{\text{DSPR}}(A) =$$

$$\max\{0, \Pr[k \leftarrow_R \{0,1\}^n, x \leftarrow_R \{0,1\}^n, b \leftarrow A(k, x): P_k(x) = b] - \text{SPprob}(f_k)\}$$



# Some intuition about DSPR

- $[\text{SPprob}(f_k) \approx 1] \Rightarrow [\text{Adv}_f^{\text{DSPR}}(A) \approx 0]$
- If  $f_k$  is strongly compressing, it is information-theoretically DSPR.
- Using  $A_{\text{SPR}}$  to break DSPR we need  $\text{Succ}_f^{\text{SPR}}(A_{\text{SPR}}) > 2 \cdot \text{SPprob}(f_k) - 1$  for non-zero advantage!

$$\text{Adv}_f^{\text{DSPR}}(A) =$$

$$\max\{0, \Pr[k \leftarrow_R \{0,1\}^n, x \leftarrow_R \{0,1\}^n, b \leftarrow A(k, x): P_k(x) = b] - \text{SPprob}(f_k) \}$$

# DSPR + SPR $\Rightarrow$ PRE

Reduction  $B_{SPR}^{APRE}(k, x)$ :

1.  $y = h_k(x)$
2.  $x' \leftarrow A_{PRE}(k, y)$
3. Return  $x'$

Reduction  $C_{DSPR}^{APRE}(k, x)$ :

1.  $y = h_k(x)$
2.  $x' \leftarrow A_{PRE}(k, y)$
3. Return 0 if  $x' = x$
4. Return 1

**We show**

$$\begin{aligned} & \text{Succ}_f^{PRE}(A_{PRE}) \\ & \leq \text{Adv}_f^{DSPR}(C_{DSPR}^{APRE}) + \\ & 3 \cdot \text{Succ}_f^{SPR}(B_{SPR}^{APRE}) \end{aligned}$$

# Application to hash-based signatures

- Interactive Game T-OpenPRE:

1. Generate  $T$  pairs  $(k_i, y_i) = (k_i, f_{k_i}(x_i))$ ,  $x_i \leftarrow_R \{0,1\}^n$
2. Give pairs to  $A$  and allow  $A$  to ask for up to  $T - 1$  of the  $x_i$
3. Output 1 if  $(j, x) \leftarrow A()$  is a preimage ( $f_{k_j}(x) = y_j$ ) for “unopened” image  $y_j$

Variants of this naturally arise in security proof of WOTS, and L-OTS

# Pre $\Rightarrow$ T-OpenPRE is non-tight!

Given  $A_{T-OpenPRE}$

build  $B(k, y)$ :

1. Play T-OpenPRE game but replace random pair  $(k_c, y_c)$  by challenge  $(k, y)$
2. If  $A$  asks to open position  $c$ , abort
3. If  $A$  returns  $(i, x)$ , output  $x$

**Reduction loss of  $1/T$ !**

# T-DSPR (multi-target, multi-function)

**Definition 31 (T-DSPR).** Let  $T$  be a positive integer. Let  $\mathcal{A}$  be an algorithm with output in  $\{1, \dots, T\} \times \{0, 1\}$ . The advantage of  $\mathcal{A}$  against the  $T$ -target decisional second-preimage resistance of a keyed hash function  $H$  is

$$\text{Adv}_{\mathbf{H}}^{T\text{-DSPR}}(\mathcal{A}) \stackrel{\text{def}}{=} \max\{0, q - p\}$$

where

$$\begin{aligned} q &= \Pr[(x_1, k_1, \dots, x_T, k_T) \leftarrow_R (\mathcal{X} \times \mathcal{K})^T; \\ &\quad (j, b) \leftarrow \mathcal{A}(x_1, k_1, \dots, x_T, k_T) : P_{k_j}(x_j) = b]; \\ p &= \Pr[(x_1, k_1, \dots, x_T, k_T) \leftarrow_R (\mathcal{X} \times \mathcal{K})^T; \\ &\quad (j, b) \leftarrow \mathcal{A}(x_1, k_1, \dots, x_T, k_T) : P_{k_j}(x_j) = 1]; \end{aligned}$$

and  $P_{k_j} = \text{SPexists}(H_{k_j})$ .

# T-DSPR + T-SPR $\Rightarrow$ T-OpenPRE, tightly!

- Use T-target versions of  $B_{SPR}^{APRE}$ , and  $C_{DSPR}^{APRE}$
- Can replace **all** pairs by challenges
  - We do know a preimage for each challenge  $\rightarrow$  can open!
- If  $A_{T-OpenPRE}$  always returns known image,  $C_{T-DSPR}^{AT-OpenPRE}$  will have advantage in breaking T-DSPR
- Else,  $B_{T-SPR}^{AT-OpenPRE}$  succeeds with high probability

# More in paper

- DSPR is quantum-hard for random functions
  - Detailed analysis of Spprob
  - Details on T-\*\*\* notions
  - Full proofs
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- See “The SPHINCS<sup>+</sup> Signature Framework” (CCS’19) for application to SPHINCS<sup>+</sup> and other hash-based signatures.

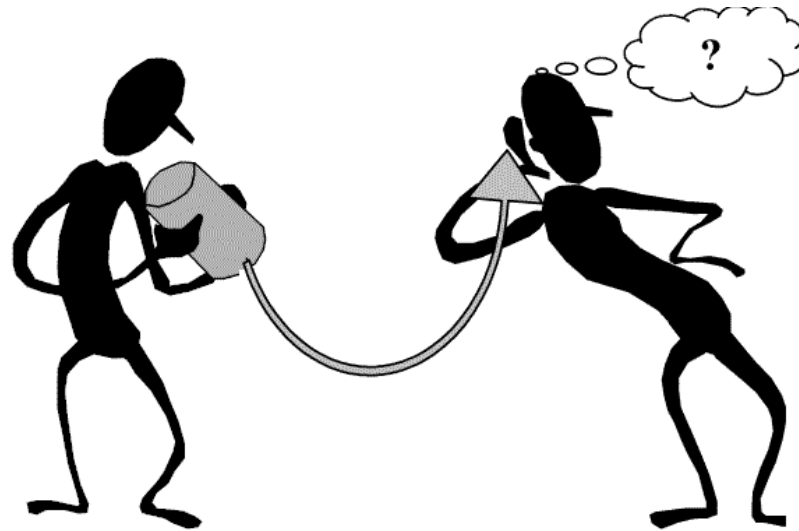
# Open problem

## Generic hardness of T-DSPR:

- Can prove  $\text{Adv}_f^{T\text{-DSPR}} \leq \frac{1}{T} \text{Adv}_f^{\text{DSPR}}$
- Conjecture:  $\text{Adv}_f^{T\text{-DSPR}} = \text{Adv}_f^{\text{DSPR}}$  (as for SPR)



# Questions?



Paper(s) available at  
<https://sphincs.org/resources.html>