

# SPHINCS<sup>+</sup>

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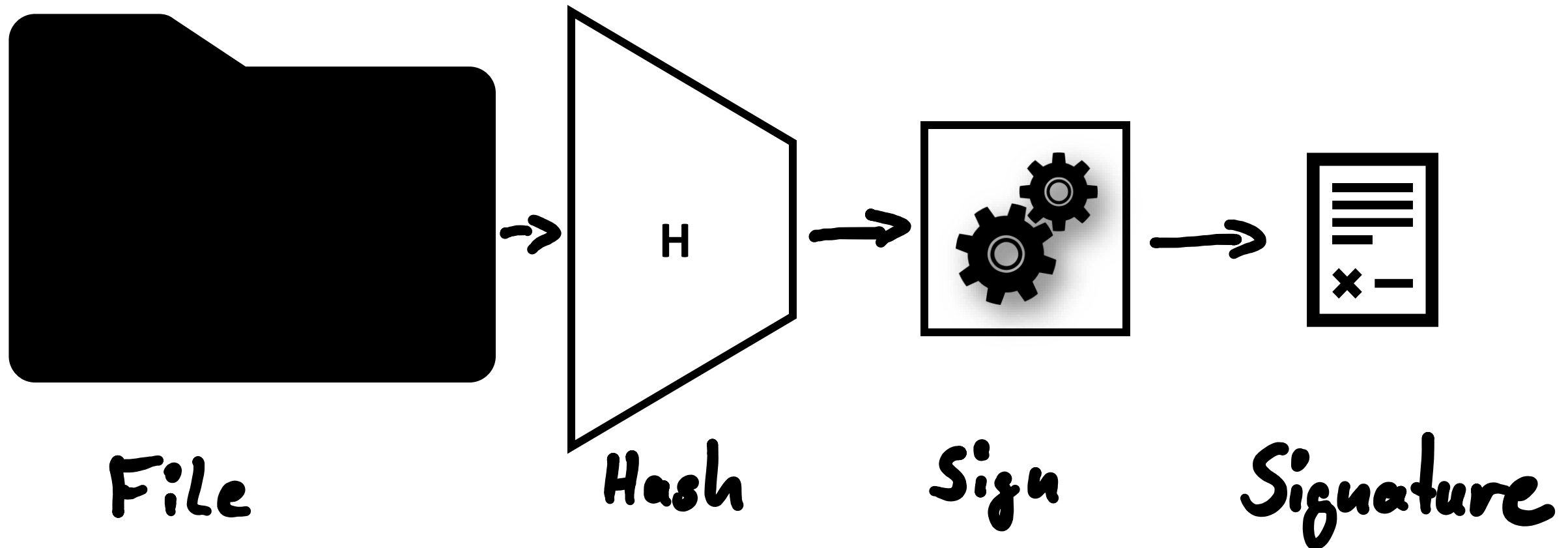
# Hash-based signatures

(Merkle '89)

## Boring crypto:

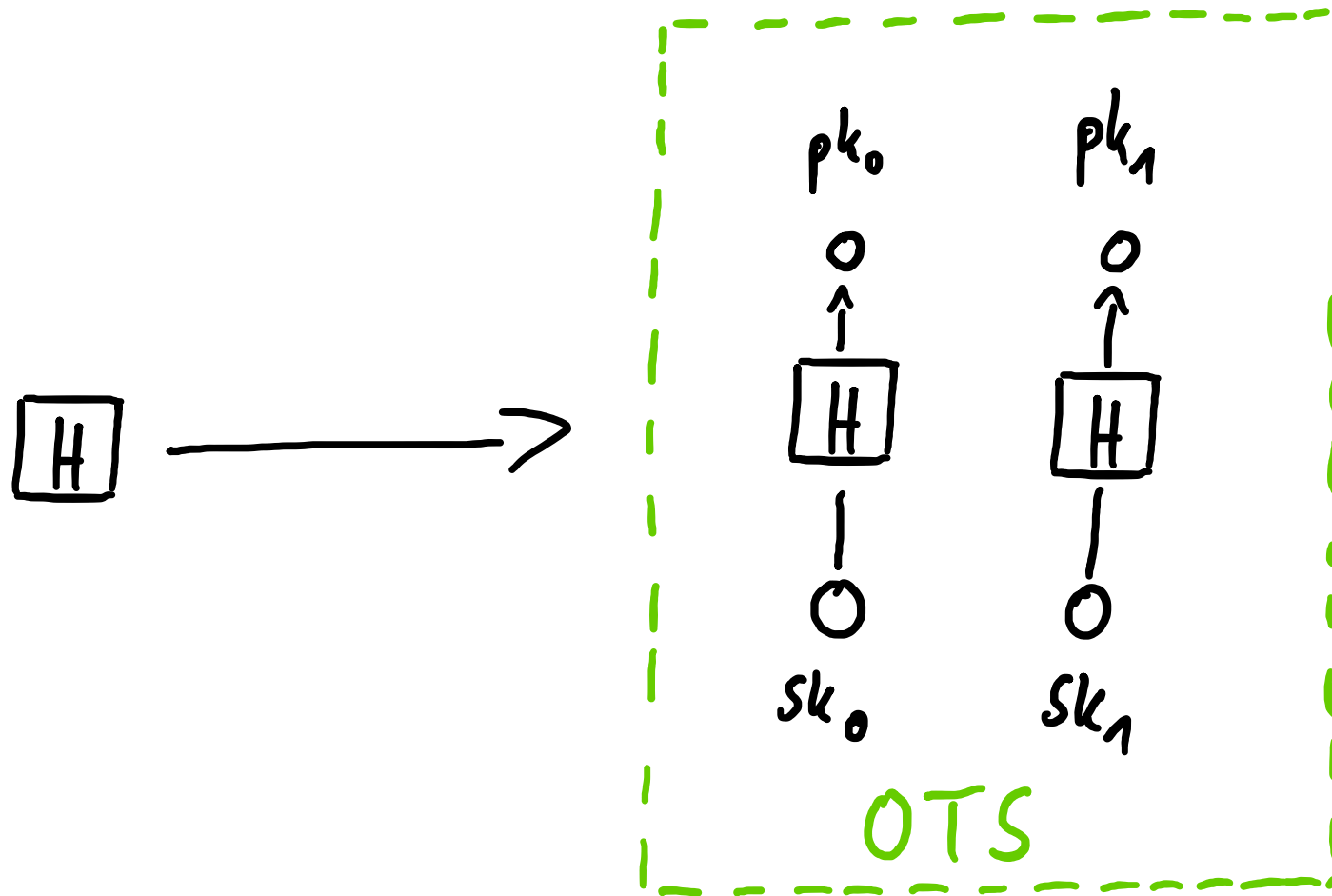
- Dates back to beginning of public key cryptography
- No fancy new mathematical assumption:  
Only requires a secure hash function  
(„minimal security assumptions“)
- Stateful schemes are first PQ-signatures standardized  
(LMS & XMSS)

# Signatures & Hash Functions



# One-time signatures (Lamport)

(1-bit)



# SPHINCS (Eurocrypt 2015)

Joint work with Daniel J. Bernstein, Daira Hopwood, Tanja Lange, Ruben Niederhagen, Louiza Papachristodoulou, Michael Schneider, Peter Schwabe, and Zooko Wilcox-O'Hearn

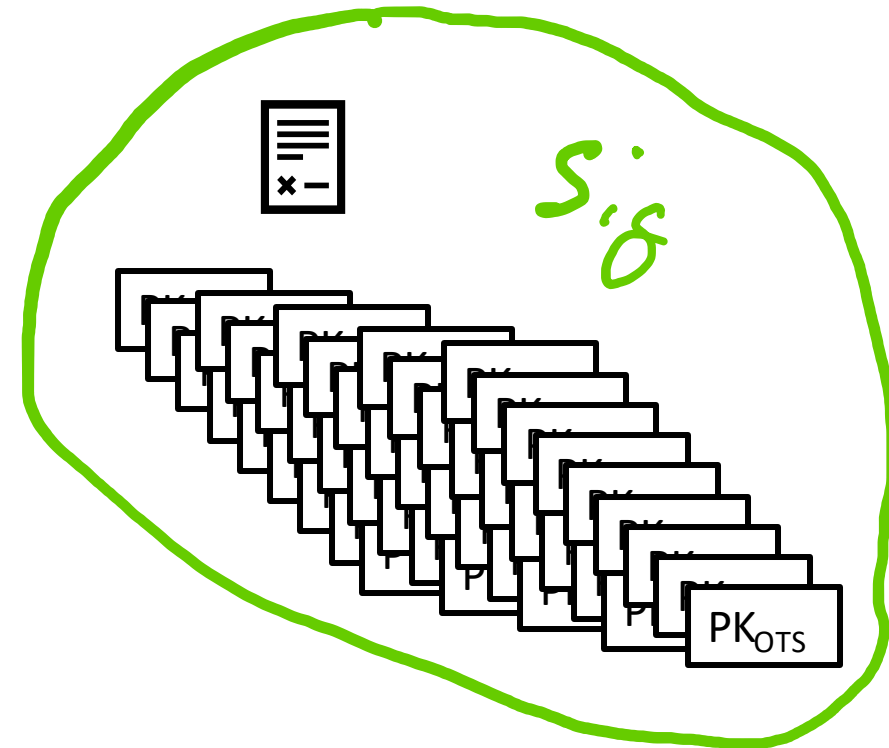
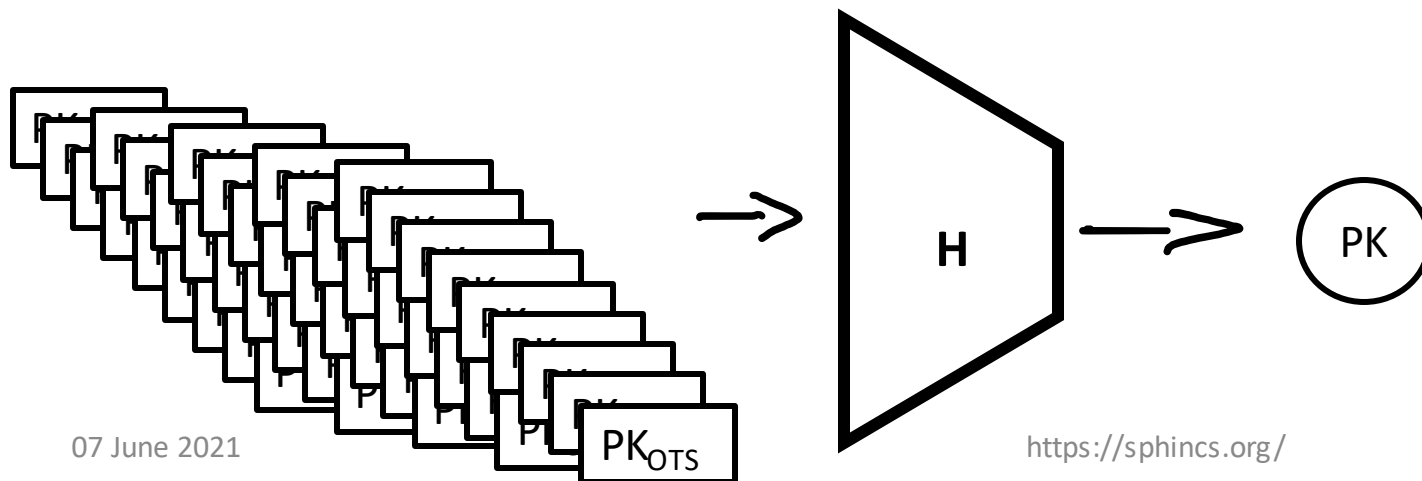
# SPHINCS(+) Design Criteria

- Stateless
- Practical performance
- Conservative security
  - Collision resilience
  - $n$ -bit hash  $\implies$   $n$ -bit classical security  
( $n/2$ -bit quantum security)

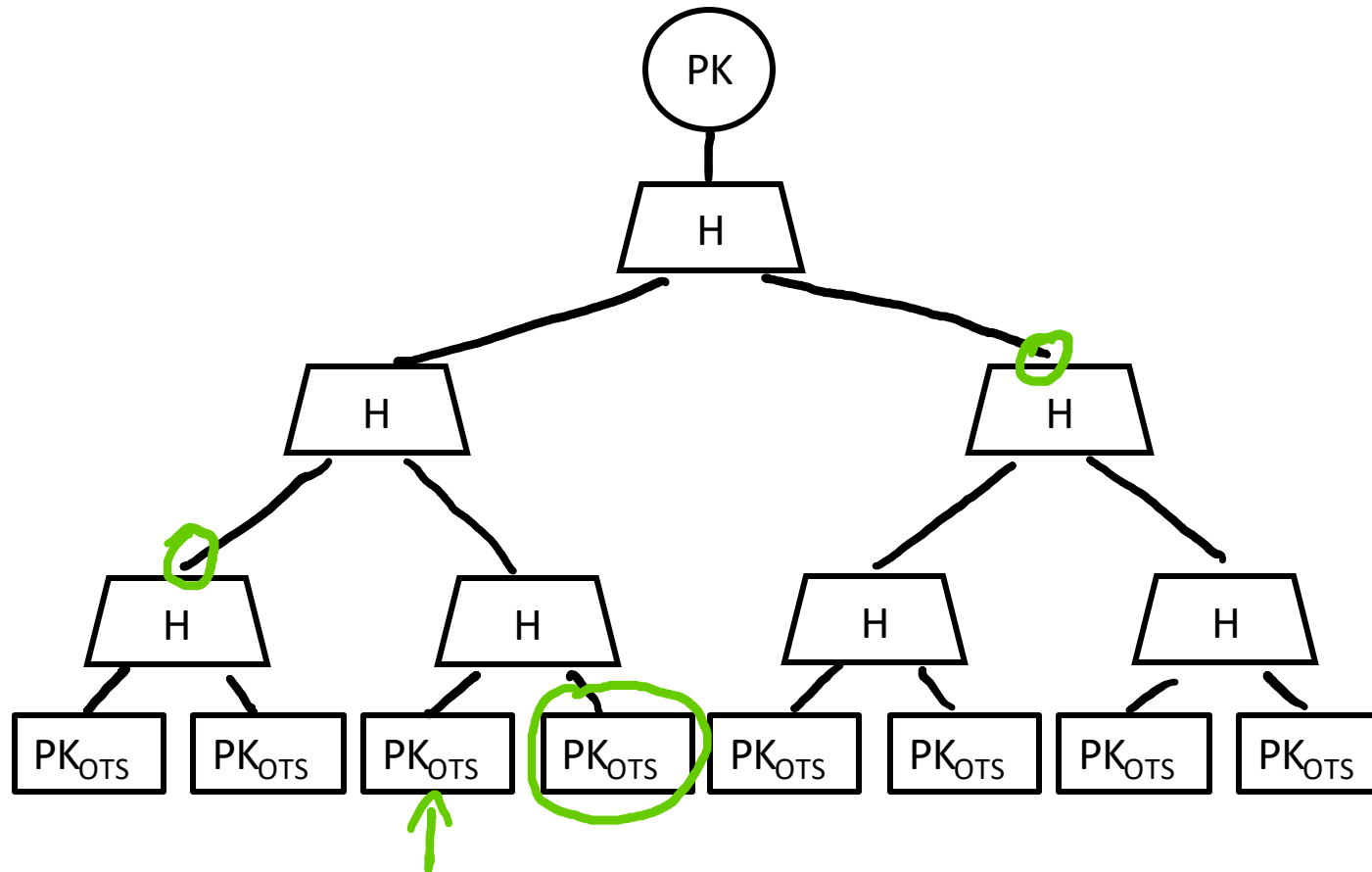
# How to go stateless (from an OTS)

Security parameter  $k$

1. Generate  $2^{2k}$  OTS key pairs
2. Authenticate all OTS public keys
3. Sign message with random OTS
4. Sig is OTS sig + authentication information



# Merkle Tree

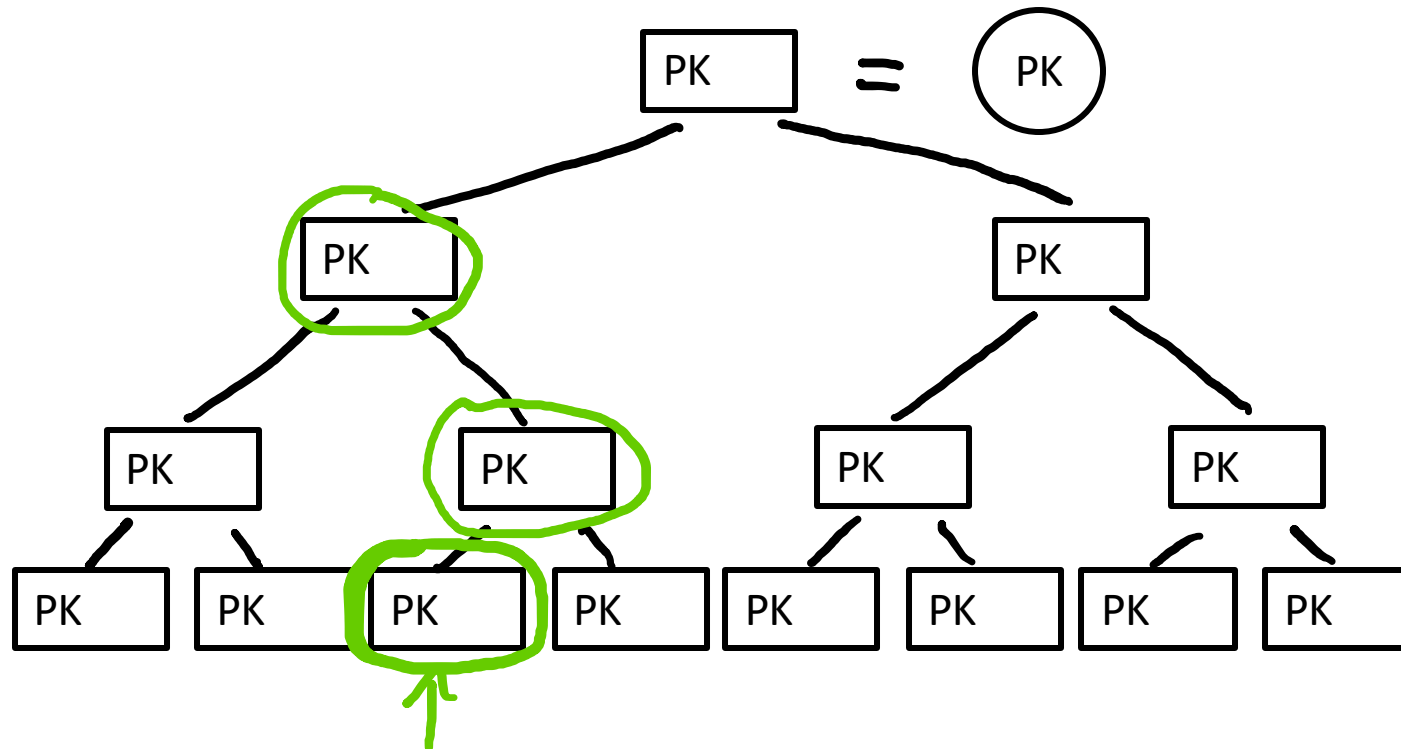




# Certification Tree

(for 2-time signature)

— = Certification (Signature on PK)



# Stateless hash-based signatures

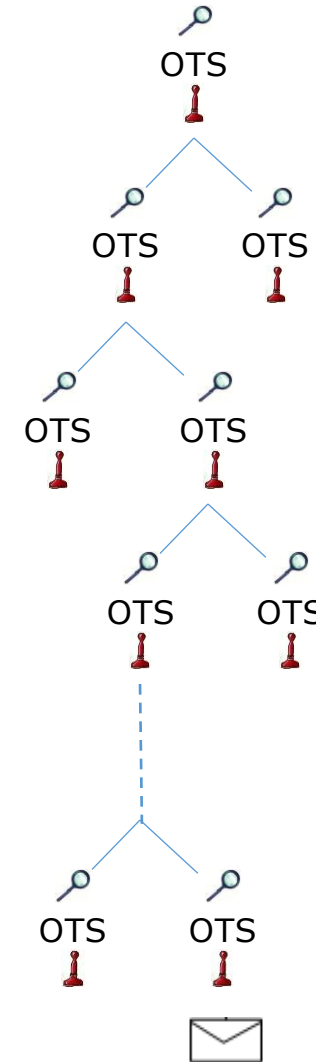
[NY89,Gol87,Gol04]

Goldreich's approach [Gol04]:

Security parameter  $k = 128$

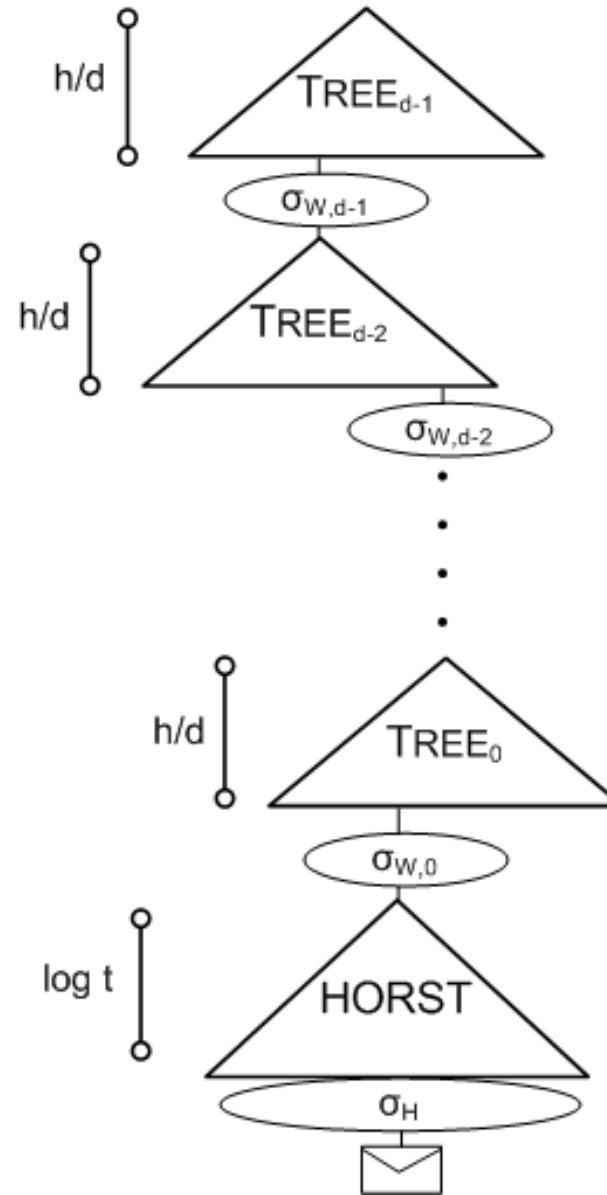
- Use binary certification tree with OTS
- Key pairs are generated pseudorandomly
- Requires huge tree to avoid collisions (height 256)

Ok speed but **HUGE** signatures



# SPHINCS [BHH<sup>+</sup>15]

- Select index (pseudo-)randomly
- Mix both methods:  
Use a certification tree of Merkle trees
- Use a few-time signature key-pair on leaves to sign messages
  - Few index collisions allowed
  - Allows to reduce tree height ( $\pm 64$ )



# SPHINCS<sup>+</sup> vs SPHINCS

- Allow for  $2^{64}$  instead of  $2^{50}$  signatures per key pair
- Add multi-target attack mitigation (Tweakable hash functions)
- “Simple” and “Robust” parameters
- New few-time signature scheme FORS
- Verifiable index selection
- Optional non-deterministic signatures

# Sizes

	<i>sec</i>	public key size	secret key size	signature size
SPHINCS <sup>+</sup> -128s	I	32	64	7 856
SPHINCS <sup>+</sup> -128f	I	32	64	17 088
SPHINCS <sup>+</sup> -192s	III	48	96	16 224
SPHINCS <sup>+</sup> -192f	III	48	96	35 664
SPHINCS <sup>+</sup> -256s	V	64	128	29 792
SPHINCS <sup>+</sup> -256f	V	64	128	49 856

Table 8: Key and signature sizes in bytes

# Speed

(on single core of 3Ghz CPU)

	Sign	Verify	sig
SPHINCS+ -SHA2-128s-simple	~ 214 ms	~ 0.28 ms	7856 byte
SPHINCS+ -SHA2-128f-simple	~ 11 ms	~ 0.72 ms	17088 byte
SPHINCS+ -SHA2-192s-simple	~ 415 ms	~0.48 ms	16224 byte
SPHINCS+ -SHA2-192f-simple	~ 18 ms	~ 1.17 ms	35664 byte

# Conclusion

- The most conservative selected signature scheme.
- No size & speed records, but for many applications... (e.g. code-signing, email & document signatures, etc)
  - ... size is negligible compared to data, and
  - ... runtime is not that critical
  - ... (long-term) security is of utmost importance
- Possible synergies with standardizing stateful hash-based signatures

Thank you!  
Questions?

